

# TWENTY-EIGHTH INTERNATIONAL SYMPOSIUM ON ECONOMIC CRIME

SUNDAY 5TH SEPTEMBER - SUNDAY 12TH SEPTEMBER 2010

JESUS COLLEGE, UNIVERSITY OF CAMBRIDGE

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The Graduate School of Arts and Sciences, University of Tokyo  
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# *The 28th Cambridge International Symposium on Economic Crime*

This year the Cambridge International Symposium on Economic Crime will celebrate its twenty-eighth year. It is a testament to the Symposium's unique blend of relevance, practicality and topicality that over the years it has enjoyed the support of so many institutions and experts around the world. It also attests to the deep concern of governments and financial and other institutions as to the extent of the risks to stability and security thrown up by economic crime and in particular organised crime. The annual Cambridge Symposium is a truly unique event which over the years has made an unrivalled contribution to understanding the real issues involved in preventing and controlling economically motivated serious crime. As a respected and trusted international forum and network it has also made an impressive and meaningful contribution to fostering international co-operation and promoting mutual understanding and goodwill.

The Twenty-Eighth programme is set in the context of one of the most serious financial crises that the world has had to face. Obviously the situation is not the result of a single or even predominate cause. However, it is obvious that abusive dealings, self-interest and worse have exacerbated the problems which have been compounded by inefficient supervision and control. Whilst the clamour for accountability may in many instances be too late, an increase in regulatory and enforcement activity is inevitable. As in the 1930s politicians talk in terms of a 'New Deal' under which obligations of stewardship over other people's wealth will be emphasised and enforced. Consequently the new regulatory systems that will be brought into operation, both domestically and internationally will inevitably place much greater emphasis on ensuring integrity and sanctioning those who abuse their positions of trust. This has implications for us all.

The Symposium brings together in one of the oldest medieval Colleges within the University of Cambridge, ministers, legislators, senior officials, diplomats, judges, regulators, law enforcement, intelligence and security officers, financial intermediaries, bankers, professional advisers, compliance and risk officers and scholars from around the world. Last year the symposium attracted well over 1,000 participants from over 90 countries.

The Twenty-Eighth Symposium will focus on the threats confronting the financial system and in particular financial institutions from those who engage in self-dealing, corrupt practices and fraud or who assist and facilitate the crimes of others. Such threats, however, are complex and manifest themselves at many different levels. For example, as in previous years, considerable emphasis is placed on the problems that confront those who operate in the financial world, primarily as result of regulatory and enforcement actions designed to address specific criminal issues – such as the disruption of highly profitable crime or terrorism. Our programme is designed by those working in enforcement, compliance and the financial sector with the deliberate intention of focussing on real issues and providing, at a truly international level, if not answers – better approaches and greater co-operation. In the result the programme provides a unique opportunity at a very practical level, to share the experiences of over 350 specialist speakers and panellists in the prevention and control of risk to the integrity and stability of the financial system.

The programme is structured to provide a depth and breadth of opportunity - second to none, for those participating in the programme to become aware not only of existing, but also new threats, and how best to address them. Through the numerous plenary and other workshops and in particular the considerable opportunities to meet colleagues socially, there is a degree of inter-action and collaboration that could only be encountered in an institution that has the highest world reputation for learning and research. The University of Cambridge collaborating with a host of distinguished institutions throughout the world offers a wholly different experience in addressing these fundamentally important issues.

The Cambridge Symposium is not and has never been just a conference. It is organised on a non-profit making basis by some of the world's most respected academic and research institutions with the active involvement and support of numerous governmental and inter-governmental organisations. It was established twenty-eight years ago to promote meaningful international co-operation – in the fight against financial crime. Its record is its best testimonial. Successive symposia have made a real contribution – at all levels, to promoting stability, good governance and the disruption and control of serious crime and terror. Those who are concerned to protect and promote the integrity and wellbeing of their national economy, institution or enterprise – or who are concerned to better understand the risks facing business today, cannot afford to miss this very special event.

Professor Barry A.K. Rider  
Symposium Director and Co-Chairman,  
Jesus College,  
Cambridge.

*Sunday, 5<sup>th</sup> September 2010*

13:00-

16:30

Registration in the Marquee, Jesus College, Cambridge

18:30

**Cocktails** in the Marquee hosted by the Centre for International Documentation on Organised and Economic Crime

19:45

**Dinner** in Hall and Upper Hall, Jesus College

A civic welcome will be extended on behalf of the City of Cambridge by the **Mayor** of Cambridge. After-dinner Addresses will be given by **The Rt Hon The Lord Davidson of Glen Clova QC**, Advocate General for Scotland, **Mr Saul M Froomkin QC**, Chairman of the Symposium and the Committee of Organising Institutions, Senior Litigation Partner, Mello Jones & Martin, Bermuda and former Attorney General and Solicitor General of Bermuda and Director of Criminal Law, Federal Government of Canada and **Professor Barry A.K. Rider**, Director, Founder and Co-Chairman of the Symposium, Professorial Fellow, Development Studies Programme, University of Cambridge, Fellow Commoner and sometime Fellow, Dean and Tutor of Jesus College, Cambridge, former Director of the Institute of Advanced Legal Studies, University of London and Counsel to Bryan Cave LLP introduced by **Ms Li-hong Xing**, Senior Research Fellow, Centre for International Documentation on Organised and Economic Crime

*Monday, 6<sup>th</sup> September 2010*

08:00

**Inaugural Addresses**

- **Mr Saul Froomkin QC**, Chairman of the Symposium
- **Professor Barry A.K. Rider**, Director, Founder and Co-Chairman of the Symposium

**Keynote Addresses**

Chair: **Mr Saul M Froomkin QC**, Symposium Chairman

- **Mr Richard Alderman QC**, Director of the Serious Fraud Office of England and Wales
- **The Hon Mr Mohammed Bello Adoke**, Attorney General of Nigeria
- **The Hon Professor G L Peiris MP SC**, Minister of External Affairs, Government of Sri Lanka, former Minister of Justice and Constitutional Affairs and Deputy Minister of Finance, Sri Lanka and former Vice-Chancellor, University of Colombo
- **The Hon Justice D.D. Abutu**, Chief Judge, Federal High Court, Nigeria
- **The Hon Dr U. S. Toukan**, Governor and Chairman of the Board, Central Bank of Jordan
- **Ms Bess Michael**, Associate Director, Financial Crimes Enforcement Network, International Programs Division, Department of Treasury, USA
- **HE Dr Latchezar Matev**, Ambassador, Ministry of Foreign Affairs, Bulgaria
- **The Hon Mrs Farida Waziri**, Executive Chairman, Economic and Financial Crimes Commission, Nigeria
- **Mr David Hartnett**, Permanent Secretary, HM Revenue and Customs, UK
- **The Hon Dr Constanca De Guzman**, Chairman, Presidential Anti-Graft Commission, The Philippines
- **The Hon Dr Ye Feng**, Director General, Supreme People's Procuratorate of the People's Republic of China and Vice President, International Association of Prosecutors and Secretary General, International Association of Anti-Corruption Authorities
- **Professor Avrom Sherr**, The Director, Institute of Advanced Legal Studies, University of London

10:30

Coffee

10:45

**Keynote Addresses** (continued)

Chair: **Mr Saul M Froomkin QC**, Symposium Chairman

- **The Hon Dr German Garavano**, Attorney General of Buenos Aires, Argentina
- **Mr Adam Feeley**, Director and Chief Executive of the Serious Fraud Office of New Zealand
- **Mr Walter Mader**, Federal Attorney, Switzerland
- **Mr David Johnson**, Head, The National Technical Assistance Centre, UK and former Commander of Specialist Operations, Metropolitan Police, UK
- **Mr Adam Kaufmann**, Executive Assistant District Attorney and Chief of the Investigation Division, New York County District Attorney's Office, USA
- **Professor Mads Andenas**, Director of the Norwegian Centre for Human Rights and Chair of the Association of Human Rights Institutes and Professor of Law at the Universities of Oslo and Leicester and Senior Research Fellow at the University of Oxford and the IALS and former Director of the British Institute of International and Comparative Law
- **Dato' Azimi Bin Ariffin**, CEO, Companies Commission, Malaysia

- **Mr Thomas Ott**, Deputy Chief, Organised Crime and Racketeering Section, Criminal Division, Department of Justice, USA
- **Mr Tajinder Singh**, Deputy Secretary General, IOSCO
- **Dr Abdullahi Shehu**, Director General, Inter-Governmental Action Group against Money Laundering and Terrorism Financing, Senegal
- **Mr Paul Lachal Roberts**, Advisor to the Director-General, European Commission Anti-Fraud Office, Brussels
- **Professor Ernesto Savona**, Professor of Criminology, Catholic University of Milan and Director of Transcrime, Research Centre for Transnational Crime, University of Trento, Italy
- **Professor Mike Levi**, Professor of Criminology, Cardiff University, UK
- **Mr Jean Denis Peseme**, The World Bank, USA
- **Mr Eugenio Burzaco**, Chief, Metropolitan Police, Buenos Aires, Argentina
- **Ms Cao Xiurong**, Deputy Director-General, China Anti-Money Laundering Monitoring and Analysis Centre, People's Republic of China
- **Mr Neil Jensen**, former Chief Executive Officer, Australian Transaction Reports and Analysis Centre (AUSTRAC), Australia

**12:45**            **Group Photograph**, Jesus College

**13:00**            **Lunch** in Hall and Upper Hall, Jesus College

**14:00**            **Session Ia: The Financial Crisis – Perspectives on cause and effect**

Chair: **Sir Kenneth Warren**, Consultant and former Chairman, Select Committee on Trade and Industry, House of Commons, UK

- **The Hon Mr Reginald Rhoda QC**, Attorney General, Gibraltar
- **The Hon Mr Shozo Kumano**, Commissioner, Securities and Exchange Surveillance Commission, Japan
- **Mr Bill Cleghorn**, Trustee Director, Fraud Advisory Panel, London, UK
- **Ms Elizabeth Jacobs**, Deputy Director, Office of International Affairs, Securities and Exchange Commission, USA
- **Mr Norman Sixth Wokoma**, Director, Nigerian Intelligence Service, Nigeria
- **The Hon Mr William Frei**, Consul General of Switzerland, Shanghai, People's Republic of China
- **Dr Mahmoud Ahmed Al-Kandari**, Director, Legal Affairs Department, Kuwait Investment Authority, Kuwait
- **Mr Stefan Gannon**, General Counsel, Hong Kong Monetary Authority, Hong Kong SAR, China
- **Dr Justine Walker**, European Commission Project on Financial Vigilance and Sanctions (WMD Proliferation Financing)
- **Mr Nicholas Burbidge**, Senior Director, Compliance Division, Office of the Superintendent of Financial Institutions, Government of Canada
- **Mr John Sliter**, Director, Special Projects, Canadian Police College, Royal Canadian Mounted Police, Canada
- **Dr Massimo Nardo**, Co-ordinator Organised and Economic Crime Study Groups, National Financial Intelligence Unit, Banca d'Italia

**16:15**            **Tea**

**16:30**            **Session Ib: (Continued)**

- **Professor Peter Nolan**, Professor of Chinese Business, Judge Business School, Chair of Development Studies, University of Cambridge and Professorial Fellow, Jesus College, Cambridge
- **The Hon Judge Abdulai Sheikh Fofanah**, Judicial Service, Sierra Leone
- **Dr Dan Mitchell**, Senior Fellow, Cato Institute, USA
- **Dr Alberto Banos**, National Criminal Judge, Argentina
- **Mr István Lengyel**, Secretary General, Banking Association for Central and Eastern Europe, Hungary
- **Mr Armstrong Sheng Chen**, Senior Counsel, Supervisory Rules and Regulation Department, China Banking Regulation Commission, People's Republic of China
- **Mr Jonathan Fisher QC**, Barrister, 23 Essex Street, London, UK
- **Mr Madzlan Mohamed Husain**, Partner, Zaid Ibrahim & Co, and former Senior Project Manager, Islamic Financial Services Board (IFSB), Malaysia
- **Professor Peter Williamson**, Professor of International Management, Judge Business School, University of Cambridge, and Fellow Commoner, Jesus College, Cambridge, UK
- **Dr Santiago Otamendi**, Magistrate and Member of the Magisterial Council of the City of Buenos Aires
- **Mr Andrew Boye-Doe**, Assistant Director, Bank of Ghana, Accra, Ghana
- **Dr Adebambo Adewopo**, Director General, Nigerian Copyright Commission, Nigeria
- **Ms Rita O'Sullivan**, Principal Counsel, Asia Development Bank, The Philippines
- **Dr Chizu Nakajima**, Co-Director of the Symposium and Director, Centre for Financial Regulation and Crime, Cass Business School, City University, UK

**18:45**            **Cocktails** in the Marquee

19:45

**Dinner** in Hall and Upper Hall, Jesus College,

**After-dinner Addresses** by **The Rt Hon The Lord Hunt of the Wirral**, Shadow Minister for Business, Conservative Party, Chairman, Financial Services Division, Beachcroft LLP and former Secretary of State for Wales; former Secretary of State for Employment and former Chancellor of the Duchy of Lancaster and Minister for the Public Services, **Sir Paul Judge**, Alderman of the City of London, Director of the UK Accreditation Service and Benefactor of the Judge Business School and **Mr Vivian Robinson QC**, General Counsel, Serious Fraud Office of England and Wales and former Treasurer of the Honourable Society of the Inner Temple, introduced by **Colonel Robert Murfin**, Clerk to the Worshipful Company of Pattenmakers of the City of London, with a vote of thanks proposed by **Mr Michael Ashe QC SC**, 9 Stone Buildings, London and Recorder of the Crown Court, England and Wales

*Tuesday, 7<sup>th</sup> September 2010*

08:00

### **Keynote Addresses**

Chair: **Professor Barry A.K Rider**, Director, Founder and Co-Chairman of the Symposium,

- **The Hon Chief Justice Asoka De Silva**, The Chief Justice , Supreme Court, Sri Lanka
- **Mr Philip Robinson**, Non-Executive Director of the Supreme Court of England and Wales, non-executive Director of the Government Office of London and former Director of Financial Crime and Intelligence and Sector Leader for Financial Crime, Financial Services Authority, UK
- **Mr John Moscow**, Partner, Baker & Hostetler, USA and former Assistant District Attorney, Office of the District Attorney of New York, USA

### **Session II: Promoting Active Stewardship**

Chair: **Sir Gavin Lightman QC**, former Judge, Chancery Division, High Court of Justice of England and Wales

- **Mr T. Michael Ashe QC SC**, 9 Stone Buildings, London and Recorder of the Crown Court, England and Wales
- **Mr Joseph Myers**, Assistant General Counsel, Legal Department, International Monetary Fund, USA
- **Professor Eisuke Nagatomo**, Professor, Waseda Graduate University, Japan and President and CEO, EN Associates Co. Ltd and former Managing Director and Chief Regulatory Officer, Tokyo Stock Exchange, Japan
- **Mr Ian Comisky**, Partner, Blank, Rome LLP. Philadelphia, USA and formerly of the Department of Justice, USA
- **Mr Anthony Belchamber**, Chief Executive, Futures and Options Association, UK
- **The Hon Justice Olayinka Faji**, Judge of the Federal High Court, Nigeria
- **Professor Johan Henning**, Dean of the Faculty of Law, University of the Free State, Republic of South Africa
- **Professor Mario Serio**, Professor of Comparative Law, University of Palermo, Italy and former Consigliere, Consiglio Nazionale della Magistratura and Consiglio Superiore della Magistratura, Rome
- **Professor Dayanath Jayasuriya**, Presidents Counsel and former Chairman, Securities and Exchange Commission, Government of Sri Lanka and Visiting Professor of Law, University of the Free State, South Africa
- **Mr Sean O'Malley**, Assistant Vice President and Deputy Chief Investigator, Enforcement Division, Federal Reserve Bank of New York, USA
- **Mrs Juliet Ibekaku**, Counsel, Inter-Governmental Action Group against Money Laundering and Terrorism Financing (GIABA), Senegal
- **Ms Susan Galli**, Senior Vice President and Chief Risk Management Officer, Pacific National Bank, USA
- **Dr Shazeeda Ali**, Technical Advisor, and former Assistant Attorney General, Jamaica and Lecturer in Law, Norman Manley Law School, Jamaica
- **Dr Arad Reisberg**, Reader in Corporate and Financial Law, Vice Dean (Research) and Director, UCL Centre for Commercial Law, Faculty of Laws, University College London, UK

10:30

**Coffee**

10:45

### **Session III: Preventing and Controlling Abuse and Self-Dealing in the Financial Sector**

Chair: **Mr Oliver Sells QC**, Recorder of the Crown Court, England and Wales

- **Professor Louis de Koker**, Chair of Law, School of Law, Faculty of Business and Law, Deakin University, Australia
- **Mr Glyn Powell**, Head of City Domain, Serious Fraud Office of England and Wales
- **Mr Edmond Lee**, Senior Public Prosecutor, Department of Justice, Hong Kong
- **Dr Russell Smith**, Principal Criminologist, Manager, Global, Economic and Electronic Crime Program, Australian Institute of Criminology, Canberra, Australia
- **Professor Emanuele Nicosia**, Professor of European Criminal Law and Policies, Faculty of Political Sciences, University of Palermo, Italy
- **Professor Roman Tomasic**, Professor of Company Law, Department of Law, University of Durham, UK

- **Mr Paul Garfield Grove**, Superintendent, Counterfeit and Support Division, Commercial Crime Bureau, Hong Kong Police Force
- **Mr Robert Henoch**, Partner, Kobre & Kim LLP, London, UK and formerly Office of the District Attorney of New York, USA
- **The Hon Dr Luis María Cabral**, President, National Magistrates Council, Trial Judge, Argentina
- **Mr John Masters**, Crown Counsel, Attorney General's Chambers, Cayman Islands
- **Mr Ian Mason**, Partner and Head, Financial Services and Regulatory Team, Barlow Lyde and Gilbert LLP and former Head of the Wholesale Group, Enforcement Division, Financial Services Authority, UK
- **Dr Rita Cheung**, Assistant Professor, Faculty of Law, Chinese University of Hong Kong

**PARALLEL PLENARY WORKSHOP I – 11:00 – 12:30**

**A Practical Approach to Restraint and Confiscation under the Proceeds of Crime Act 2002**

**Mr Keith McCarthy**, Chief Investigator, Serious Fraud Office of England and Wales  
**Mr Robert Amaee**, Head of Anti-Corruption Domain, Serious Fraud Office of England and Wales  
**Mr Dick Gould**, Proceeds of Crime Unit, Serious Fraud Office of England and Wales

**12:45**            **Lunch** in Hall and Upper Hall, Jesus College

**13:45**            **Session IV: The limits of the Criminal Law in Policing Integrity**

Chair: **Mr George Staple QC**, Consultant, Clifford Chance LLP, and former Director of the Serious Fraud Office of England and Wales

- **Mr Carlos Conceicao**, Partner, Clifford Chance LLP, and former Head of Enforcement, Wholesale Group, Financial Services Authority, UK
- **Mr Tom Newkirk**, Partner, Jenner & Block LLP and former Associate Director, Division of Enforcement, Securities and Exchange Commission, USA
- **Mr Yehuda Shaffer**, Deputy State Attorney (Financial Crime), Israel
- **Mr Shuhei Kojima**, Director of International Co-operation, Japan Financial Intelligence, National Police Agency, Japan
- **Mr Frank Cihlar**, Senior Counsel for International Tax Matters, Department of Justice, USA
- **Mr Chris Dickson**, former Executive Counsel, Accountants' Joint Disciplinary Scheme and former Assistant Director, Serious Fraud Office of England and Wales, UK
- **Mr Philipe Rutledge**, Partner, Bybel & Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA
- **Mr James Mews**, Director, Finance Industry Development, Chief Minister's Department, States of Jersey, UK
- **Professor Takeyoshi Imai**, Professor of Criminal Law, Hosei Graduate Law School, Tokyo and Advisor to the Ministry of Justice, Japan
- **Mr Clark Abrams**, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA
- **Professor Jackie Harvey**, Professor of Professional Management, Newcastle Business School, Northumbria University, UK
- **Professor Simon Young**, Associate Professor, Faculty of Law, University of Hong Kong

**15:45**            **Tea**

**PLENARY WORKSHOP II – 16:15-17:15**

**Integrity and Accountability in the Financial Sector – US Style**

**Mr Ian Comisky**, Partner, Blank, Rome LLP, Philadelphia, USA and formerly of the Department of Justice, USA  
**Mr Victor Song**, Chief, Criminal Investigation Division, Internal Revenue Service, USA  
**Ms Miriam Fisher**, Partner, Morgan, Lewis & Bockius LLP and former Special Assistant to the Attorney General, Tax Division, Department of Justice, USA  
**Mr Larry A. Campagna**, Partner, Chamberlain, Hrdlicka, White, Williams and Martin LLP, USA  
**Mr Larry Shtasel**, Partner, Blank Rome LLP, Philadelphia, USA

**PLENARY WORKSHOP III – 16:15-17:15**

**Privatisation in Russia – Twenty Years After: Lessons Learnt and Lost**

**Convened by: Dr Dimitry Gololobov**, Gololobov & Co, London, UK  
**Ms Inessa Allen**, Consultant and formerly of Bryan Cave LLP

**PLENARY WORKSHOP IV– 16:15-17:15**

**Conditions for Success of the risk-based approach in AML/CTF**

**Mr Philip Robinson**, Non Executive Director of the Supreme Court of England and Wales, non-executive Director of the Government Office of London and former Director of Financial Crime and Intelligence and Sector Leader for Financial Crime, Financial Services Authority, UK

**Professor Louis de Koker**, Chair of Law, School of Law, Faculty of Business and Law, Deakin University, Australia **Mr Neil Jensen**, former Chief Executive Officer, Australian Transaction Reports and Analysis Centre (AUSTRAC), Australia  
**Ms Joy Geary**, Managing Director, AML Master Pty Ltd,

**PLENARY WORKSHOP V– 16:15-17:15**

**The Practical issues involved in designing and implementing anti-corruption/integrity procedures in Developing Countries**

**Mr Harald Mathisen**, Co-Director, U4 Anti-Corruption Resource Centre, Chr. Michelsen Institute, Norway  
**Dr Fletcher Tembo**, Research Fellow and Programme Leader, Governance and Transparency Fund and Director, Overseas Development Institute

**Mr Jesper Johnson**, Consultant, Maxwell Stamp PLC and Department of Land Economy, University of Cambridge, UK

**WORKSHOP 1 – 17:30-18:30**

**Using the Internet to Protect Integrity**

**Mr Peter Lowe**, Director, Commercial Crime Services, International Chamber of Commerce, UK

**Mr Max Vetter**, Manager, Counterfeiting Intelligence Bureau, International Chamber of Commerce, UK

**WORKSHOP 2 – 17:30-18:30**

**Helping the Police with their Enquires! The Ethical Use of Informants and Assisting Offenders**

**Mr Mike Dixon**, Detective Inspector, Metropolitan Police, UK

**Mr Peter Cox**, Detective Constable, Metropolitan Police, UK

**WORKSHOP 3 – 17:30-18:30**

**Trade Based Money Laundering**

**Mr Bradley Riethmiller**, Senior Intelligence Analyst, National Threat Analysis Branch, Money Laundering Unit, National Drug Intelligence Center, Department of Justice, USA

**Mr Frank DiGregorio**, Detective Sergeant and Financial Group Supervisor, El Dorado Task Force, City of New York, USA

**WORKSHOP 4 – 17:30-18:30**

**Community based punishments**

**Mr William Tupman**, Senior Research Fellow, University of Exeter, UK

**Mr Alan Lambert**, Consultant and former Head of Hertfordshire Police Financial Investigation Unit and Consultant to the Foreign and Commonwealth Office

**Mrs Yi Alexander**, Civilian Support Office, Cambridgeshire Constabulary, Consultant, Wincon Law Firm and Mingfeng Law Firm, Qindao, People's Republic of China

**Ms Karen Clubb**, Department of Law, University of Derby, UK

**WORKSHOP 5 – 17:30-18:30**

**Promoting Integrity in the development of Compliance Training and Systems**

**Mr George Littlejohn**, Director of Global Business Development, Chartered Securities Investment Institute, UK

**WORKSHOP 6 – 17:30-18:30**

**Tracing Stolen Money in the Context of International Bankruptcy**

**Mr John Moscow**, Partner, Baker & Hostetler LLP and former Assistant District Attorney, Office of the District Attorney of New York, USA

**WORKSHOP 7 – 17:30-18:30**

**Establishing an FIU in Developing Countries**

**Dr Abdullahi Shehu**, Director General, Inter-Governmental Action Group against Money Laundering and Terrorism Financing, Senegal

**Dr Shazeeda Ali**, Technical Advisor, and former Assistant Attorney General, Jamaica and Lecturer, Norman Manley Law School, Jamaica

**Ms Mary Alice Young**, University of Wales, Aberystwyth, UK

**WORKSHOP 8 – 17:30-18:30**

**Failure of Governance and Compliance in certain Financial Institutions in the UK**

**Mr Stuart Bazley**, Director of Operations and General Counsel, City Equities Ltd, UK

**Mr Jason Haines**, Head, Financial Crime and Data Security Practice, Ineum Consulting, UK

**Ms Jodie Baker**, Solicitor, Foot Anstey Solicitors, UK

19:00

Cocktails in the Marquee,

19:45

Dinner in Hall and Upper Hall, Jesus College

After-dinner Addresses by **HE Mr Lyubomir Kyuchukov**, Ambassador Extraordinary and Plenipotentiary of Bulgaria to the Court of St James, and **The Hon Sir Gavin Lightman QC**, former Judge, Chancery Division, High Court of Justice of England and Wales introduced by **Mr Dominic Gibbs**, Chief Operating Officer, The Cayzer Trust Company Limited and the Institute of Advance Legal Studies, University of London with a vote of thanks proposed by **Mr Robert Rhodes QC**, Outer Temple Chambers, UK

**Wednesday, 8<sup>th</sup> September 2010**

08:00

**Keynote Addresses**

Chair: **Mr Robert Rhodes QC**, Outer Temple Chambers, UK

- **The Hon Judge S.P.B.Hancke**, Judge of the High Court of South Africa, and Professor Extra ordinaries, Faculty of Law, University of the Free State, Bloemfontein, South Africa
- **Mr Peter German**, Assistant Commissioner and former Director General, Financial Crime, Royal Canadian Mounted Police
- **Professor Epiphany Azinge, SAN**, Director General, National Institute of Advanced Legal Studies, Nigeria

**Session V: The perimeters of corruption in the business world**

Chair: **Mr William Howarth**, Chief Executive, International Compliance Association, UK

- **Dr Shailaja Fennell**, Lecturer and Assistant Director, Development Studies Programme, University of Cambridge and Fellow of Jesus College, Cambridge, UK
- **Mr John McFarlane**, Adjunct Professor, Centre for Policing, Intelligence and Counter-Terrorism, Macquarie University, Australia
- **Professor Yasunobu Sato**, Professor of Law, University of Tokyo, Japan
- **Mr George Mills**, Anti-Corruption Expert, The European Union Rule of Law Mission to Kosovo
- **Captain P Mukundan**, Director, Commercial Crimes Services, International Chamber of Commerce, UK
- **Mr William Tupman**, Senior Research Fellow, University of Exeter, UK
- **Dr George Millard**, Partner, Performance Global Risk Management and Security Consultants; Professor Sao Paulo Police Academy, President International Police Association (Brazil) and former Chief of Police and Director, Sao Paulo, Brazil
- **Mr Henry Roszbacher**, Partner, The Roszbacher Firm, Los Angeles, USA
- **Mr Joey Wang**, Deputy Director, International Operations Division, Investigation Bureau, Ministry of Justice, Taiwan,
- **Dr Jose Barbaccia**, Lawyer and former Federal Prosecutor, Argentina
- **Professor Januz Bojarski**, Chair of Criminal Law and Criminal Policy, Nicolas Copernicus University, Poland
- **Mr R Palmer**, Global Witness, UK
- **Professor Andrew Haynes**, Director, Institute of Financial Law, University of Wolverhampton, UK

**PARALLEL PLENARY WORKSHOP VI – 09:00 – 10:30**

**21st Century Investigation Models – Public and Private Sector – Best Practices and Pitfalls**

**Mr Mark Gough**, Deputy Head, Compliance Investigation, Siemens AG, Germany  
**Mr Michael Stefanovic**, Manager, External Investigations, Integrity Vice-Presidency, World Bank, USA  
**Mr Andreas Mlitzke**, Head, Investigations, World Health Organisation, Switzerland  
**Ms Ute Stiegel**, Judicial Advisor, European Anti-Fraud Office (OLAF), European Commission, Belgium  
**Mr Neil Fletcher**, Fraud and Misconduct Investigation Team, BP, UK  
**Mr Jason Haines**, Head, Financial Crime and Data Security Practice, Ineum Consulting, UK

10:30

Coffee

10:45

**Session VI: Overseas Corruption – The issues for those who give and those who take**

Chair: **The Hon Justice Olayinka Faji**, Judge of the Federal High Court, Nigeria

- **Ms Anita Essinger**, Partner, Bryan Cave LLP, London, UK
- **Ms Joy Geary**, Managing Director, AML Master Pty Ltd, Australia
- **Mr Simon Dickson**, Senior Associate, Mourant du Feu & Jeune, Cayman Islands
- **Mr Timothy Leary**, BSA/AML Risk Section, Division of Banking Supervision and Regulation, Board of Governors of the Federal Reserve System, USA

- **Mr Kennedy Bosire**, Principal Forensic Investigator, Forensic Investigation & Asset Tracing Department, Kenya Anti Corruption Commission, Kenya
- **Dr Simone White**, European Anti Fraud Office, Belgium
- **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crime Studies, University of Florida, USA
- **Dr David Chaikin**, Senior Lecturer in Business Law, University of Sydney, Australia
- **Mr Mark Gough**, Deputy Head, Compliance Investigation, Siemens AG, Germany
- **Ms Bian Jiang**, School of Oriental and African Studies, University of London and formerly of the Justice Department, People's Republic of China
- **Mr Paul Garlick QC**, Outer Temple Chambers, London, UK
- **Mr K Olu Adaranewa**, Banking and Payment System Department, Central Bank of Nigeria, Nigeria

**PARALLEL PLENARY WORKSHOP VII – 11:00 – 12:30**

**Failure of Integrity – Counting the Cost**

**Mr Jonathan Pickworth**, Partner and EMEA Head of Corporate Crime, Investigation and Compliance, DLA Piper LLP, UK

**Mr Duncan Wiggetts**, Partner, Corporate Crime, Investigation and Compliance, DLA Piper LLP, UK

**12:45** Lunch in Hall and Upper Hall, Jesus College

**14:00** **Session VII: Politically Exposed Persons (PEPs) – The issues**

Chair: **Dr John Drysdale**, Chairman, Transparency International, UK

- **Mr Richard Small**, Vice President, Enterprise-wide AML and Sanctions Risk Management, American Express, USA
- **Mr Neil Stocks**, Head of group Compliance, Member of the Managing Board, UBS AG
- **Dr Giorgio Merlongi**, Deputy Head, Data Analysis and Management, National Financial Intelligence Unit, Banca d'Italia
- **Dr Stelios Zyglidopoulos**, Judge Business School, University of Cambridge, UK
- **Ms Helen Hatton**, Managing Director, Sator Regulatory Consulting Limited and former Deputy Director, Jersey Financial Services Commission
- **Professor Leonid Fituni**, Director for Strategic and Global Studies, Russian Academy of Sciences, Moscow
- **Mr Philippe de Koster**, Deputy Director, Belgium Financial Intelligence Processing Unit CTIF-CFI, Belgium
- **Ms Kelly Yip**, Vice President, Ethics and Compliance, BNP Paribas, USA
- **Ms Sara Dayman**, Partner, BDO Stoy Hayward LLP, UK
- **Mr Langston Sibbles**, General Counsel, Cayman Islands Monetary Authority
- **The Hon Dr Javier Lopez Biscayart**, Criminal Tax Judge, Argentina
- **Mr Robert Targ**, Member of the Board of Directors, Florida International Banking Association and Partner, Diaz Reus & Targ LLP, Miami, USA
- **Dr Simona Mulinari**, Senior Manager, Assurance Financial Services, Regulatory and Compliance Services, PricewaterhouseCoopers SA, Switzerland
- **Mr Kola Awodein**, Senior Advocate of Nigeria and Director, Skye Bank Plc, Nigeria

**Special Parallel Session VIII – 14:00 – 16:00**

Presented by the **Serious Fraud Office** on **Business Ethics**, Chaired by **Mr Vivien Robinson QC**, General Counsel, Serious Fraud Office of England and Wales

**16:00** Tea

**PLENARY WORKSHOP IX – 16:15-17:15**

**Proceeds of Crime – The civil law perspective**

**Mr Paul Gully-Hart**, Partner, Schellenberg Wittmer, Switzerland

**Mr John Moscow**, Partner, Baker & Hostetler LLP and former Assistant District Attorney, Office of the District Attorney of New York, USA

**Mr Andrew Maclay**, Director, Forensic Services, BDO LLP, London, UK

**Dr David Chaikin**, Senior Lecturer in Business Law, University of Sydney, Australia

**Dr Alan Bacarese**, Head of Legal and Case Consultancy, International Centre for Asset Recovery, Basel Institute on Governance, Switzerland

**Mr Mark Sutherland**, Barrister at Law, Counsel's Chambers, Hong Kong

**PLENARY WORKSHOP X- 16:15-17:15**

**The Role of Financial Intelligence**

**Ms Jeanne Flemming**, Director, FINTRAC, Canada  
**Ms Catherine Ahn**, Analyst, Terrorism and Financial Intelligence, Department of Treasury, USA  
**Mr Rene Bruelhardt**, Director, Financial Intelligence Unit, Liechtenstein  
**Lieutenant Colonel Francesco Pisano**, DIA Anti-Money Laundering Unit, Guardia di Finanza, Italy  
**Mr Dave Copley**, Organised Crime and Money Laundering Investigator, UK

**PLENARY WORKSHOP XI- 16:15-17:15**

**Legislation Designed to Improve Corporate Governance: An Exploration**

**Presented by Saint Louis University, USA**

**Professor Fred Yeager**, Professor of Finance and formerly Chair of the Department of Business, John Cook School of Business, Saint Louis University  
**Dean Ellen Harshman**, Dean of the John Cook School of Business, Saint Louis University  
**Professor Jim Gilsinan**, Des Lee Endowed Collaborative Vision Professor and formerly Dean of the College of Professional Studies, Saint Louis University  
**Professor Neil Seitz**, Professor of Finance and formerly Dean of the John Cook School of Business, Saint Louis University  
**Professor Jim Fisher**, Director of the Emerson Centre for Business Ethics, John Cook School of Business, Saint Louis University  
**Professor Jim Millar**, Finance Professor, University of Arkansas  
**Professor Muhammed Islam**, Chair of Department of Economics, John Cook School of Business, Saint Louis University

**PLENARY WORKSHOP X11- 16:15-17:15**

**Corporate Governance the Failures! What can we learn?**

**Mr John Webb**, Independent Internal Audit Consultant and Certified Fraud Examiner, former Chairman, Institute of Internal Auditors' Banking and Financial Services Committee, London Investment Banking Association, Internal Audit Committee Member and Association of Chartered Certified Accountants, Internal Audit Sub-Committee Member  
**Professor Paul Barnes**, Professor of Fraud Risk Management and Director of the International Fraud Prevention Research Centre, Nottingham Business School,  
**Professor Michael Mainelli**, Emeritus Gresham Professor of Commerce and Executive Chairman of Z/Yen Group Ltd  
**Mr Paul Moxey**, Head of Corporate Governance and Risk Management, Association of Chartered Certified Accountants, UK  
**Professor Andrew Chambers**, Partner, Management Audit LLP, Professor Emeritus of London's City University, Member of Fédération des Experts Comptables Européen's (FEE's) Corporate Governance and Company Law standing Working Party(Brussels).Chair of the Corporate Governance and Risk Management Committee of the Association of Chartered Certified Accountants

**WORKSHOP 9 - 17:30-18:30**

**Revisiting Terrorist Financing; cellular financing and weapons proliferation**

**Mr John McFarlane**, Adjunct Professor, Centre for Policing, Intelligence and Counter-Terrorism, Macquarie University, Australia  
**Mr William Tupman**, Senior Research Fellow, University of Exeter, UK  
**Dr George Millard**, Partner, Performance Global Risk Management and Security Consultants; Professor Sao Paulo Police Academy, President International Police Association (Brazil) and former Chief of Police and Director, Sao Paulo, Brazil  
**Dr Justine Walker**, European Commission project on financial vigilance and sanctions (WMD Proliferation Financing)

**WORKSHOP 10 - 17:30-18:30**

**Recent initiatives against financial crime and misconduct in China**

**Ms Bian Jiang**, School of Oriental and African Studies, University of London and formerly of the Justice Department, People's Republic of China  
**Ms Li-Hong Xing**, Senior Research Fellow, Centre for International Documentation on Organised and Economic Crime  
**Mrs Yi Alexander**, Civilian Support Office, Cambridgeshire Constabulary, Consultant, Wincon Law Firm and Mingfeng Law Firm, Qindao, People's Republic of China  
**Ms Zhen Ye**, Jesus College, Cambridge, UK

**WORKSHOP 11 - 17:30-18:30**

**Will market abuse overtake terrorist crime as the key law enforcement objective?**

**Mr Julian Korek**, Founding Partner Kinetic Partners LLP, UK

**WORKSHOP 12 - 17:30-18:30**

**The evolution of International AML legislation and technological structures for targeting ML**

**Professor Stavros Katsios**, Ionian University, Corfu, Greece  
**Dr Dionysios Demetis**, Information Systems and Innovation Group, Department of Management, London School of Economics

<p align="center"><b><u>WORKSHOP 13 – 17:30-18:30</u></b></p> <p align="center"><b>Assessing National AML/CFT Risk: The Practitioner’s View</b></p> <p align="center"><b>Dr Paul Ashin</b>, Senior Financial Sector Expert, International Monetary Fund, USA</p> <p><b>Mr Steve Dawe</b>, Senior Financial Sector Expert, International Monetary Fund, USA</p> <p><b>Ms Emiko Todoroki</b>, Senior Financial Sector Specialist, Financial Market Integrity, World Bank, USA</p> <p><b>Mr David Parody</b>, Chief Operations Officer, Gibraltar Financial Services Commission, Gibraltar</p>	<p align="center"><b><u>WORKSHOP 14 – 17:30-18:30</u></b></p> <p align="center"><b>Global money laundering investigations using advanced technology and investigative techniques</b></p> <p><b>Mr Clark Abrams</b>, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA</p> <p><b>Mr Daniel Wager</b>, Director, New York High Intensity Money Laundering and Related Financial Crime Area, USA</p> <p><b>Ms Donna Luisi</b>, Supervisory Criminal Research Specialist, New York High Intensity Money Laundering and Related Crime Area, USA</p>
<p align="center"><b><u>WORKSHOP 15 – 17:30-18:30</u></b></p> <p align="center"><b>How Compliance/AML has changed in the light of the current economic environment</b></p> <p><b>Mr William Howarth</b>, Chief Executive, International Compliance Association, UK</p> <p><b>Mr Pekka Dare</b>, Global Head, Financial Crime Prevention and Money-Laundering, International Compliance Association and former Prosecutor, Inland Revenue Service, New Zealand</p>	<p align="center"><b><u>WORKSHOP 16 – 17:30-18:30</u></b></p> <p align="center"><b>Campaign Spending: Protecting Free Speech according to the United States Supreme Court</b></p> <p><b>Professor Fletcher Baldwin</b>, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA</p> <p><b>Mr Henry Rossbacher</b>, Attorney at Law, Los Angeles, California, USA</p> <p><b>Mr G. Monty Rankin</b>, Attorney at Law, Florida, USA</p>

<p><b><u>Alternative Programme – Wednesday 8<sup>th</sup> September 2010</u></b></p>	
<p><b>Human Trafficking Workshop</b></p>	
<p><b>09:00</b></p>	<p><b>Programme Chair: Mr Graham Ritchie</b>, Solicitor and Associate Senior Fellow, Anglia Ruskin University, Director, Social Care and Law and former Research Fellow of the Institute Advanced Legal Studies, London, UK</p>
<p><b>09:15</b></p>	<p><b>Opening Address</b></p> <p><b>Mr Christopher Eyre</b>, Acting Chief Constable of Leicestershire Constabulary and Head of Association of Chief Police Officers Migration Portfolio</p>
<p><b>Session I – Chair: Mr Graham Ritchie</b></p>	
<ul style="list-style-type: none"> <li>• <b>HE Ms Marija Efremova</b>, Ambassador, Embassy of the Republic of Macedonia, UK</li> <li>• <b>Ms Caroline O’Reilly</b>, Head, Special Action Program to Combat Forced Labour, International Labour Organisation</li> <li>• <b>Professor Antonello Miranda</b>, Professor of Comparative Law and Dean of the School of Social Sciences, University of Palermo, Italy</li> <li>• <b>Professor Kadriye Bakirci</b>, Associate Professor, Istanbul Technical University and Member of the Commission for Draft Legislation, Government of Turkey</li> </ul>	
<p><b>12:45</b></p>	<p><b>Lunch</b></p>
<p><b>14:00</b></p>	<p><b>Session II - Chair: Mr Christopher Ward</b>, Judge of the Upper Tribunal, (Administrative Appeals, Chamber) Judiciary of England and Wales</p> <ul style="list-style-type: none"> <li>• <b>Mr Glynn Rankin</b>, UK Human Trafficking Centre</li> <li>• <b>Dr Nancy Baldwin</b>, Attorney at Law, Florida, USA</li> <li>• <b>Dr Geltrude Macri</b>, Lecturer, University of Palermo, Italy</li> <li>• <b>Dr Anna Markovska</b>, Senior Lecturer in Criminology, Faculty of Arts, Law and Social Sciences, Department of Humanities and Social Sciences, Anglia Ruskin University, Cambridge, UK</li> <li>• <b>Dr Ruth Farrugia</b>, Advocate and Senior Lecturer Faculty of Laws University of Malta</li> <li>• <b>Ms Colleen Moore</b>, Deputy Head, Humanities and Social Sciences, Anglia Ruskin University, Cambridge, UK</li> <li>• <b>Professor Johan Henning</b>, Dean of the Faculty of Law, University of the Free State, Republic of South Africa</li> <li>• <b>Ms Rita Duca</b>, Lecturer, Faculty of Polical Sciences, University of Palermo, Italy</li> </ul>
<p align="center"><b>Concluding remarks – Mr Graham Ritchie</b></p>	
<p><b>16:00</b></p>	<p><b>Tea</b></p>
<p><b>19:00</b></p>	<p><b>Cocktails</b> in the Marquee</p>
<p><b>19:45</b></p>	<p><b>Dinner</b> in Hall and Upper Hall, Jesus College</p>

**After-dinner Addresses** by **The Rt Hon Lord Goldsmith QC**, Partner, Debevoise & Plimpton LLP and former Attorney General of England and Wales and **Sir John Stuttard**, Vice-Chairman, Advisory Board, PricewaterhouseCoopers and former Lord Mayor of the City of London introduced by **Colonel Robert Murfin**, Clerk of the Worshipful Company of Pattenmakers of the City of London with a vote of thanks proposed by **Mr Clark Abrams**, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA

**Thursday, 9<sup>th</sup> September 2010**

**08:00**

### **Keynote Addresses**

Chair: **Ms Helen Hatton**, Managing Director, Sator Regulatory Consulting Limited and former Deputy Director, Jersey Financial Services Commission

- **Lord Martin Thomas of Gresford**, Shadow Attorney General for the Liberal Democrats, UK
- **The Hon Mr John Maher III**, Vice Chairman, Centric Bank and Member and past Speaker pro tempore of The House of Representatives, Pennsylvania, USA
- **Sir Kenneth Warren**, Consultant and former Chairman, Select Committee of Trade and Industry, House of Commons, UK
- **Mr Tom Roche**, Deputy General Counsel and Senior Vice President, Federal Reserve Bank of New York, USA

### **Session VIII: Penetration, subversion and the purchase of power**

Chair: **Mr Sarbjit Singh**, former Director General, Bureau of Police Research and Development, Indian Police Service, New Delhi, India

- **The Hon Judge Antonio Balsamo**, Judge of the Court of Cassation, Italy and Member of the Scientific Committee of the Consiglio Superiore della Magistratura, Italy and former Judge of the Court of Assizes, Palermo, Sicily
- **The Hon Professor Valriy Dimitrov**, President of the National Audit Office, Bulgaria and Professor of Administration and Financial Law, University of Economy, Sofia, Bulgaria
- **The Hon Senator Sola Akinyede**, Chairman, Senate Committee on Drugs, Narcotics, Financial Crimes and Anti-Corruption, National Assembly, Nigeria
- **The Hon Mr Chronox Manek**, Chief Ombudsman, Ombudsman Commission, Papua New Guinea
- **Mr Jeff Simser**, Legal Director, Ministry of the Attorney General, Canada
- **Mr Kenneth Murray**, Head of Forensic Accountancy, Scottish Crime and Drug Enforcement Agency
- **Mr Richard Weber**, Deputy Chief of Investigation Division and Chief, Major Economic Crime Bureau, New York County District Attorney's Office and former Chief, Asset Forfeiture and Money Laundering Section, US Department of Justice, USA
- **Dr Alain Sham**, Head, Triad, Organised Crime and Anti-Terrorist Section and Senior Assistant Director of Public Prosecutions, Department of Justice, Hong Kong
- **Mr Graeme Miller**, National Terrorist Financial Investigation Unit, UK
- **Mr David Fitzpatrick**, Barrister, England and Wales and Hong Kong and former Senior Crown Counsel, Hong Kong
- **Colonel Mario Imparato**, Head, Guardia di Finanza, Province of Avellino, Italy
- **Ms Shima Keene**, Director, Security Economics, Institute of Statecraft and Governance and Senior Research Fellow, the Centre of International Documentation on Organised Crime
- **Mr Tyler Hodgson**, Counsel, Borden Ladner Gervais LLP and former Chief Legal Officer, Dubai First International, Dubai

**10:30**

**Coffee**

**10:45**

### **Session IX: Bringing the powerful to account**

Chair: **Mr David Howarth**, former Shadow Secretary of State for Justice for the Liberal Democrats and Reader in Private Law, University of Cambridge, UK

- **Dr Irina Abramova**, Deputy Director, Institute of African and Arab Studies, Russian Academy of Sciences, Moscow
- **Mr Terrence Williams**, Lecturer, Norman Manley Law School, Jamaica and former Director of Public Prosecutions, Government of the British Virgin Islands
- **Mr Brian Sapati**, Chairman, Financial Intelligence Centre Board, Ghana and former Head, The Serious Fraud Office, Ghana
- **Mr Khawar Qureshi QC**, Junior Treasury Counsel, Serle Court, London and McNair Chambers, Qatar
- **Mr Jyoti Trehan**, Director General of Police, Punjab State Human Rights Commission, India
- **Mr Navin Beekarry**, Senior Anti-Corruption Consultant, Global Justice Programme for Iraq, Quinney College of Law, University of Utah and former Chairman of the Anti-Corruption Commission, Mauritius
- **Mr Marcelo Ruiz**, Partner, Estudio Vanguero, Ferrari & Ruiz, Buenos Aires and former Counsellor, Argentine Embassy, London

- **Mr Haruhiko Ukawa**, Deputy Director, United Nations Asia and Far East Institute for the Prevention of Crime, Japan
- **Professor Dan Magnusson**, Professor of Law and Society, Jönköping International Business School, Sweden and former Deputy Director of the Swedish Economic Crime Bureau
- **Mr Wilmer Parker III**, Partner, Maloy Jenkins Parker, USA and formerly of the Justice Department, USA
- **Professor Dayanath Jayasuriya**, Presidents Counsel and former Chairman, Securities and Exchange Commission, Government of Sri Lanka
- **Mr Larry Gurwin**, Consultant, Euro Investigation Srl, Milan, Italy

**PLENARY WORKSHOP XIII 11:00– 12:30**

**Ethical issues in the development of financial sector technology**

**Professor Ross Anderson**, Professor of Security Engineering, University of Cambridge, UK  
**Professor Douwe Korff**, Professor of International Law, London Metropolitan University, UK  
**Mr Stephen Mason**, Barrister and Associate Research Fellow, Institute of Advanced Legal Studies, UK  
**Dr Richard Clayton**, Computer Laboratory, University of Cambridge, UK

**12:45** Lunch in Hall and Upper Hall

**13:45** **Session X: Where the buck stops – the bank!**

Chair: **Mr Nik van Leuven**, Director General, Guernsey Financial Services Commission, Guernsey, UK

- **Mr Marcel Philippe**, Assistant District Attorney and Senior Investigative Counsel, Money Laundering and Forfeiture Office of the Special Narcotics Prosecutor, City of New York, USA
- **Mr Roger Best**, Partner, Clifford Chance LLP, UK
- **Mr Michael Tuckett**, Director, State of New York Banking Department, USA
- **Mr Richard Parlour**, Principal, Financial Markets Law International, UK
- **Mr Richard Collins**, Managing Director, Authorisation, Qatar Financial Centre Regulatory Authority
- **Ms Aimee Toth**, Chief Compliance Officer and General Counsel, Allengheny Financial Group, Pittsburgh, USA
- **Mr David Cohen**, Senior Partner, Cohen Legal Partners, Israel
- **Mr James McGinnis**, Managing Director, Anti-Money Laundering, BNP Paribas, USA
- **Dr Katlen Blöcker**, Partner, Lovells, Germany
- **Mr Martin Kenny**, Senior Partner, Martin Kenny & Co, Solicitors, British Virgin Islands
- **Dr Cécile Ringgenberg**, Senior Partner, Ringgenberg & Schulthess, Geneva, Switzerland
- **Mr David Chernick**, Senior Manager, KPMG Forensic, UK

**15:45** Tea

**PLENARY WORKSHOP XIV– 16:15-17:15**

**Ensuring Integrity – developments in the governance of Charitable Organisations**

**Professor Paul Palmer**, Professor of Voluntary Sector Management, Cass Business School, City University, London  
**Mr Kenneth Dibble**, Executive Director of Legal Services and Compliance, Charity Commission, UK  
**Mr Peter Gotham**, Partner, Gotham Erskine LLP and Chair, ICAEW Charities Group, UK  
**Mr Terry de March**, former Director General, Charities Directorate, Canadian Revenue Agency, Canada

**PLENARY WORKSHOP XV – 16:15-17:15**

**The Penetration of Financial Institutions by Organised Crime**

**Mr Philip Rutledge**, Partner, Bybel & Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA  
**Mr Andy Fyfe**, Detective Inspector, Economic Crime Department, City of London Police  
**Dr Angela Leong**, DMH Stallard, UK and formerly Asset Recovery Agency, UK  
**Mr Denis Heneghan**, Detective Inspector, Bureau of Fraud Investigation, Garda Síochána, Ireland  
**Mr Sarabjit Singh**, former Director General, Bureau of Police Research and Development, Indian Police Service, New Delhi, India  
**Mr Neal Jeans**, Head, Group Financial Crime, National Australia Bank Limited, Australia  
**Dr Anna Simonova**, Faculty of Law, University of Copenhagen, Denmark  
**Colonel Grant Newsham**, Executive Director, Corporate Security, Morgan Stanley (Japan) Securities Ltd

**PLENARY WORKSHOP XVI – 16:15 – 17:15**

**The resilience of the Islamic Capital Markets**

**Mr James Bagshawe**, FCA, Chief Operating Officer, Gatehouse Bank, UK  
**Mr David Dougan**, Head of Compliance and Operational Risk, Gatehouse Bank, UK  
**Mr Stephen Gulson**, Chief Risk Officer, Gatehouse, UK

**PLENARY WORKSHOP XVII – 16:15 – 17:15**

**Designing Corruption out and integrity in**

**Ms Felicity Banks**, Head of Business Law, Institute of Chartered Accountants in England and Wales and member of HM Treasury's Money Laundering Advisory Committee  
**Professor Mark Watson-Gandy**, Barrister, Thirteen Old Square Chambers, London, UK  
**Mr John Howell**, Director, John Howell & Co. Ltd, UK  
**Mr Nick Andrews**, Partner, Mpac Consultancy LLP, UK  
**Mrs Abimbola Izu**, Legal Advisor, Skye Bank, Nigeria  
**Mr Jason Haines**, Head, Financial Crime and Data Security Practice, Ineum Consulting, UK

**WORKSHOP 17 – 17:30-18:30**

**Recent Innovations in Fraud Intelligence**

**Mr Steve Strickland**, Detective Inspector, City of London Police, UK  
**Mr Mike Dixon**, Detective Inspector, Metropolitan Police, UK

**WORKSHOP 18 – 17:30-18:30**

**High Risk Investments**

**Mr Nick Andrews**, Partner, Mpac Consultancy LLP, UK  
**Mr Hugh Thomas**, Mpac Consultancy LLP, UK

**WORKSHOP 19 – 17:30-18:30**

**Best Practice, Financial Crime and Modelling ML-behaviour**

**Dr Dionysios Demetis**, Information Systems and Innovation Group, Department of Management, London School of Economics  
**Mr Graeme Miller**, National Terrorist Financial Investigation Unit, UK  
**Mr Bernard Dyer**, Visiting Research Fellow, Department of Management, London School of Economics and Political Science, University of London  
**Mr Chris Hames**, Research Advisor, Spotlight and GATE Projects, Department of Management, London School of Economics and Political Science, University of London

**WORKSHOP 20 – 17:30-18:30**

**How Important are anti-money laundering programmes to financial institutions and how important is the risk based approach to Governmental and International Bodies; Is there a disconnect?**

**Mr Clark Abrams**, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA  
**Mr Robert Goecks**, President, Egris LLC and former Senior Vice President and Director, Anti-Money Laundering Division, United States Trust Company  
**Mr Navin Beekarry**, Senior Anti-Corruption Consultant, Global Justice Programme for Iraq, Quinney College of Law, University of Utah and former Chairman of the Anti-Corruption Commission, Mauritius

**WORKSHOP 21 – 17:30-18:30**

**The Increasing Criminalisation of Economic Law – a Competition Law Perspective**

**Ms Julie Clarke**, Lecturer, School of Law, Deakin University, Australia

**WORKSHOP 22 – 17:30-18:30**

**The Bribery Act 2010**

**Mr Eoin O'Shea**, Partner, Head of Anti-Corruption Group, Lawrence Graham LLP, UK

**WORKSHOP 23 – 17:30-18:30**

**A New Deal for the Internet: Policing the marketplace while keeping the information superhighway open**

**Mr William Tupman**, Senior Research Fellow, University of Exeter, UK  
**Mr G. Monty Rankin**, Attorney at Law, Florida, USA  
**Mr Henry Rossbacher**, Attorney at Law, Los Angeles, California, USA

**WORKSHOP 24 – 17:30-18:30**

**Corruption and Crime – a Brazilian Perspective**

**Dr George Millard**, Partner, Performance Global Risk Management and Security Consultants; Professor Sao Paulo Police Academy, President International Police Association (Brazil) and former Chief of Police and Director, Sao Paulo, Brazil  
**Mr Rodrigo Toledo Franca**, EMDEC, Assessor to the Operations Manager, Campinas, Sao Paulo, Brazil

**19:00**            **Cocktails** in the Marquee

**19:45**            **Dinner** in Hall and Upper Hall, Jesus College

Addresses by **Mr Keiichi Hayashi**, Minister Plenipotentiary, Embassy of Japan and **Sir Roger Toulson QC**, Lord Justice of Appeal, Court of Appeal of England and Wales, Honorary Fellow of Jesus College, Cambridge and former Chairman of the Law Commission for England and Wales introduced by **Dr Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University, UK with a vote of thanks proposed by **Professor Johan Henning**, Dean of the Faculty of Law, University of the Free State, Republic of South Africa

**Friday, 10<sup>th</sup> September 2010**

**08:00**            **Keynote Addresses**

Chair: **Mr Michael Ashe QC SC**, 9 Stone Buildings, London and Recorder of the Crown Court, England and Wales

- **Mr Phillip Thorpe**, Chairman and CEO, Qatar Financial Centre Regulatory Authority, Qatar
- **Ms Margaret Cole**, Director of Enforcement, Financial Services Authority, UK
- **Mr C A Udora**, Executive Commissioner Legal and Enforcement), Securities and Exchange Commission, Nigeria

**Session XI: Intervention and the enforcement of standards**

Chair: **Sir Anthony Hammond QC**, Standing Counsel to the General Synod, former H.M. Procurator, The Treasury Solicitor, The Queen's Proctor and the Legal Advisor, Home Office and Northern Ireland Office and Director General of the Legal Services, Department of Trade and Industry, UK

- **Mr Alexander Cohen**, Partner, Latham & Watkins LLP, USA, and former Deputy General Counsel, Securities and Exchange Commission, USA
- **The Hon Mr Udayasri Kariyawasam**, Chairman, Insurance Board of Sri Lanka
- **Mr William Kattan**, Director, Bermuda Monetary Authority
- **Mr William J. Fox**, Senior Vice-President and Global Anti-Money Laundering and Economic Sanctions Executive, Bank of America Corporation, USA
- **Dr Cora Smolianski**, Vice Director, Legal Affairs, Insurance Regulation Commission, Argentina
- **Dr Nusret Cetin**, Attorney at Law. Deputy of Legal Affairs and Head of Institutional Relations Group, Capital Markets Board of Turkey
- **Mr Bryan Stirewalt**, Director, Supervision, Dubai Financial Services Authority, Dubai
- **Dr Robert Vella Baldacchino**, Deputy General Manager, Malta Stock Exchange, Malta
- **Mr Craig Hammer**, The World Bank, USA
- **Colonel Mario Imparato**, Head, Guardia di Finanza, Province of Avellino, Italy
- **Professor Robert Garratt**, Visiting Professor, Cass Business School, City University, London and Professor Extraordinaire, University of Stellenbosch Business School, Republic of South Africa
- **Mr Dave Copley**, Organised Crime and Money Laundering Investigator, UK
- **Dr Joachim Kaetzler**, Partner, CMS Hasle Sigle, Germany
- **Dr Qi-huai Zhang**, Senior Legislative Advisor, Standing Committee of the People's Congress of Beijing; Standing Counsellor of the Criminology Research Society of China; Senior Arbitrator, China International Economic and Trade Arbitration Commission and Beijing and Wuhan Arbitration Commissions

**PARALLEL PLENARY WORKSHOP XVIII– 09:00 – 10:30**

**Proceeds of Crime Lawyers Association - Civil Recovery – should it replace Criminal Prosecution?**

**Mr Andrew Mitchell QC**, 33 Chancery Lane, London, UK  
**Mr Warren Foot**, Partner, (Proceeds of Crime), Blake Laphorn, UK  
**Mr Brandon Barnes**, Director, BDO LLP, UK

**10:30**            **Coffee**

**10:45**            **Session XII: Governance – barking but not biting!**

Chair: **Mr Richard Regan**, Member of the Court of Common Council of the City of London and former Sheriff of the City of London

- **Dr Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University, UK
- **Professor Andreas Kapardis**, Chairman, Law Department, University of Cyprus
- **Professor Maria Krambia-Kapardis**, Associate Professor of Accounting, Cyprus University of Technology
- **Professor James Fisher**, Director of the Emerson Centre for Business Ethics, John Cook School of Business, Saint Louis University, USA
- **Ms Siti Faridah**, Senior Lecturer in Law, National University of Malaysia
- **Professor Antonello Miranda**, Professor of Comparative Law and Dean of the School of Social Sciences, University of Palermo, Italy
- **Mrs Svetla Konstantinova**, Attorney-at-Law, Sofia, Bulgaria and former Counsellor, Bulgarian Embassy, London
- **Professor Simon Archer**, Visiting Professor, ICMA Centre, University of Reading, UK and Consultant to the Islamic Financial Services Board
- **Mrs Catherine Pedamon**, Lecturer in Law, University of Westminster and BPP Law School, London UK
- **Professor Virginia G. Maurer**, Huber Hurst Professor of Business Law and Legal Studies and Director, Poe Center for Business Ethics, Warrington College of Business Administration, University of Florida, USA
- **Mr Robert Goecks**, President, Egris LLC and former Senior Vice President and Director, Anti-Money Laundering Division, United States Trust Company
- **Mr Larry Boyce**, Senior Vice President, SB Regulatory Consulting Inc, Canada
- **Datuk Dimon Shim**, Senior Partner, M/S Sim Pang & Co, Malaysia
- **Mr Richard Peat**, Barrister (13 Old Square Chambers) and former Litigation Enforcement Counsel, Financial Services Authority, UK

**PARALLEL PLENARY WORKSHOP XIX – 11:00 – 12:30**

**Legalised Regulation of Drugs – a strategy for reducing violent crime and protecting Financial Institutions**

**Mr Jacke Cole**, Law Enforcement Against Prohibition, USA

**Mr Tom Lloyd**, former Chief Constable, Cambridgeshire Police, UK

**Dr Stelios Zyglidopoulos**, Judge Business School, University of Cambridge, UK

**12:45** Lunch in Hall and Upper Hall

**13:45** **Session XIII: Policing Integrity – the Offshore dimension**

Chair: **Mr Stephen Platt**, Chairman, BakerPlatt, UK

- **The Hon Mrs Judith Jones-Morgan**, Attorney General, St Vincent and the Grenadines
- **Mr John Aspden**, Chief Executive, Financial Services Commission, Isle of Man
- **Dr Peter Maynard**, Peter D Maynard & Co, Counsel & Attorneys, Bahamas
- **Professor Hans Geiger**, Professor Emeritus of Banking, Swiss Banking Institute, University of Zurich, Switzerland
- **Mr Julian Korek**, Founding Partner Kinetic Partners LLP, UK
- **Dr Thomas Spies**, Counsel and Senior Manager, Regulatory Service Group, KPMG, Germany
- **Mr Frederic Raffray**, Crown Advocate, Guernsey, UK
- **Mr Calvin Wilson**, Executive Director, Caribbean Financial Action Task Force (CFATF), Trinidad
- **Ms Myret Zaki**, Rédactrice en Chef Adjointe du « Bilan »
- **Dr Silvia Fazio**, Partner, Collyer Bristow, UK
- **Mr Robert Dyer**, Head of Compliance, Bermuda Commercial Bank Ltd, Bermuda
- **Mr James Springer**, Resident Financial Enforcement Advisor, Office of the Technical Assistance, US Department of Treasury in Namibia and formerly of the US Justice Department
- **Dr Anton Keller**, The Secretary, Swiss Investors Protection Association, Switzerland

**16:00** Tea

**PLENARY WORKSHOP XX – 16:15-17:15**

**International fraudster - local victims**

**Dr Bernard Herdan**, Chief Executive, National Fraud Authority, UK

**Mr Peter Wilson**, Director of Engagement, National Fraud Authority, UK

**PLENARY WORKSHOP XXI– 16:15-17:15**

**Containing the fall out of the financial crisis – the legal issues**

**Mr Stephen Ball**, Partner, Bryan Cave LLP, UK

**Ms Gay Huey Evans**, Vice-Chairman, Investment Banking and Investment Management, Barclays Plc and former Director, Markets Division and Capital Markets Sector Leader, Financial Services Authority, UK

**PLENARY WORKSHOP XXII – 16:15-17:15**

**Ethics in the Financial Sector**

**Dr Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University, UK

**Professor Virginia G. Maurer**, Huber Hurst Professor of Business Law and Legal Studies and Director, Poe Center for Business Ethics, Warrington College of Business Administration, University of Florida, USA

**Dr Wesley Harry**, Visiting Fellow, Cass Business School, City University, London

**Dr Cindy Schipani**, University of Michigan, USA

**Professor Johan Henning**, Dean of the Faculty of Law, University of the Free State, Republic of South Africa

**Professor Louis de Koker**, Chair of Law, School of Law, Faculty of Business and Law, Deakin University, Australia

**Dr David Chaikin**, Senior Lecturer in Business Law, University of Sydney, Australia

**Professor Kiymet Tunca Caliyurt**, Lecturer, Faculty of Business Administration and Economics, Department of Accounting and Finance and Manager of Social Graduate School, Trakyu University, Turkey

**PLENARY WORKSHOP XXIII– 16:15 – 17:15**

**Rise and Fall of Swiss Banking Secrecy**

**Dr Cécile Ringgenberg**, Senior Partner, Ringgenberg & Schulthess, Geneva, Switzerland

**Professor Hans Geiger**, Professor Emeritus of Banking, Swiss Banking Institute, University of Zurich, Switzerland

**Ms Myret Zaki**, Rédactrice en chef adjointe du « Bilan »

**WORKSHOP 25 – 17:30-18:30**

**Corporate Social Responsibility**

**Mrs Catherine Pedamon**, Lecturer in Law, University of Westminster and BPP Law School, London UK

**Dr Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University, UK

**Professor Johan Henning**, Dean of the Faculty of Law, University of the Free State, Republic of South Africa

**Ms Shirley Quo**, Senior Lecturer, Law School, Faculty of Business and Law, Auckland University of Technology, New Zealand

**WORKSHOP 26 – 17:30-18:30**

**The Role of Shar'iah in promoting stability and integrity of Financial Markets**

**Dr Lu'ayy Rimawi**, Consultant and Islamic Finance (LLM) Module Director, BPP Law School, London UK and formerly of HM Home Office

**Professor Barry A.K. Rider**, Director and Co-Chairman of the Symposium and Consultant to the Islamic Financial Services Board

**WORKSHOP 27 – 17:30-18:30**

**Methods for deconstructing the internal suspicious transaction system of a financial institution: A case study of a large bank**

**Dr Dionysios Demetis**, Information Systems and Innovation Group, Department of Management, London School of Economics

**WORKSHOP 28 – 17:30-18:30**

**Financial Intelligence vs. Financial Privacy – selected legal and economic aspects**

**Dr Joachim Kaetzler**, Partner, CMS Hasche Sigle, Germany

<p align="center"><b><u>WORKSHOP 29 – 17:30-18:30</u></b></p> <p align="center"><b>Protection of Whistle Blowers</b></p> <p><b>Professor Anona Armstrong</b>, Professor of Corporate Governance, Centre for International Corporate Governance Research, Faculty of Business and Law, Victoria University, Australia</p> <p><b>Professor Ronald D. Francis</b>, Professorial Fellow in Governance and Ethics, Centre for International Corporate Governance Research, Faculty of Business and Law, Victoria University, Australia</p>	<p align="center"><b><u>WORKSHOP 30 – 17:30-18:30</u></b></p> <p align="center"><b>PREVENT (UK strategy for terrorism prevention); creating a New Deal to prevent violent radicalisation of Islamic Youth</b></p> <p><b>Mr William Tupman</b>, Senior Research Fellow, University of Exeter, UK</p> <p><b>Professor Olu Ogunsakin</b>, Senior Advisor to the City of London Police, UK</p> <p><b>Dr Robert Lambert</b>, Co-Director, European Muslim Research Centre and former Head of the Muslim Contact Unit, New Scotland Yard, UK</p> <p><b>Dr Abdul-haqq Baker</b>, Sreet Project and Chairman of Brixton Mosque, UK</p>
<p align="center"><b><u>WORKSHOP 31 – 17:30-18:30</u></b></p> <p align="center"><b>The Role of the Media in Exposing Corruption and Promoting Integrity</b></p> <p><b>Mr Michael Ricks</b>, Managing Director, Enquire International Ltd, UK</p> <p><b>Ms Elena Egawhary</b>, London School of Economics and Political Science, University of London</p>	<p align="center"><b><u>WORKSHOP 32 – 17:30-18:30</u></b></p> <p align="center"><b>Technology – Wise Investment or Expensive Distraction?</b></p> <p><b>Dr David Langley</b>, formerly Ministry of Defence and Cabinet Office, UK (other speakers tbc)</p>

**19:00**

**Cocktails** in the Marquee,

**19:45**

**Dinner** in Hall and Upper Hall, Jesus College

**After-dinner Addresses** by **Sir Ivan Lawrence QC**, former Chairman, Home Affairs Committee, House of Commons, UK and former Recorder of the Crown Court, England and Wales and Visiting Professor of Law, University of Buckingham, UK, **The Hon Mr Zhang Lirong**, Minister Counsellor, Embassy of the People's Republic of China and **Mr Tomás Carruthers**, Chief Executive Officer, Interactive Investor, UK, introduced by **Mr Mark Blandford-Baker**, Magdalene College, University of Oxford with a vote of thanks proposed by **Mr Tim Watts**, Consultant and former Partner, Reed Smith Richards Butler LLP, UK

**Saturday, 11<sup>th</sup> September 2010**

**8:00**

**Keynote Addresses**

Chair: **Mr Saul Froomkin QC**, Symposium Chairman

- **Dr Bernard Herdan**, Chief Executive, National Fraud Authority, UK
- **Mr John Wagner**, Director, BSA/AML Compliance, US Treasury Department
- **The Hon Dr Ye Feng**, Director General, International Judicial Co-operation, Supreme People's Procuratorate of the People's Republic of China and Vice President, International Association of Prosecutors and Secretary General, International Association of Anti-Corruption Authorities

**Session XIV: Enforcing the Rules**

Chair: **Sir Ivan Lawrence QC**, former Chairman, Home Affairs Committee, House of Commons, UK and former Recorder of the Crown Court, England and Wales and Visiting Professor of Law, University of Buckingham UK

- **Ms Bridget Brennan**, Special Prosecutor for Narcotics, Office of the Special Narcotics Prosecutor, City of New York, USA
- **Mr Shozo Fujita**, Director, Jury Trial Division, The Supreme Office of Public Investigation and Prosecution, Japan
- **Mr Hayson Tse**, Senior Public Prosecutor, Department of Justice, Hong Kong
- **Mr Daniel Tannebaum**, Regional Head of Currency Services Compliance, Americas Travelex Currency Services Inc. USA
- **Mr Jeremy Rawlins**, Head of Proceeds of Crime Delivery Unit, Crown Prosecution Service, UK
- **The Hon Justice George Adesola Oguntade**, former Justice of the Supreme Court, Nigeria
- **Mr Stefan Cassella**, Chief, Asset Forfeiture and Money Laundering Section, Office of the US Attorney, District of Maryland, USA
- **Mr Kerry Petryshyn**, Inspector, Major Fraud and Bankruptcy, Royal Canadian Mounted Police, Canada

- **Ms Wendy Tien**, Deputy Assistant Director, Office of Review and Oversight, former Trial Attorney, Civil Division, US Department of Justice, Executive Office for the United States Trustees
- **Mr Frederick Davis**, Partner, Debevoise & Plimton LLP, France
- **Dr Dora Nevarez-Muniz**, Professor of Law, Inter American University, Puerto Rico
- **Dr Josef Meron**, Corporate and Legal Compliance, Siemens AG, Germany
- **Mr James Kellock**, former Deputy Director of the Serious Fraud Office of England and Wales
- **Ms Iyandra Smith**, Attorney at Law, Bahamas
- **Mr Kennedy Talbot**, Barrister, 33 Chancery Lane, London, UK

**PARALLEL PLENARY WORKSHOP XXV – 9:00 – 10:30**

**Practical issues; sharing experiences**

**Mr Frans van Proosdij**, Manager, Compliance and Risk Management, Robeco Direct, The Netherlands

**Mr Dave Copley**, Organised Crime and Money Laundering Investigator, UK

**Mr Alan Lambert**, Consultant and former Head of Hertfordshire Police Financial Investigation Unit and Consultant to the Foreign and Commonwealth Office

**Mr Graham Ritchie**, Solicitor and Associate Senior Fellow, Anglia Ruskin University, Director Social Care and Law and former Research Fellow of the Institute of Advanced Legal Studies, London UK

**10:30**      **Coffee**

**10:45**      **Session XV: Setting Standards Internationally – and their impact!**

Chair: **Mr Brandon Davies**, Senior Non-Executive Director, Gatehouse Bank, and Managing Director, The Global Association of Risk Professionals, Risk Academy, UK

- **Dr Patrick Hardouin**, Consultant, International Security and Business, Paris, France and former Deputy Secretary General, NATO
- **Professor Anona Armstrong**, Professor of Corporate Governance, Centre for International Corporate Governance Research, Faculty of Business and Law, Victoria University, Australia
- **Professor Ronald D. Francis**, Professorial Fellow in Governance and Ethics, Centre for International Corporate Governance Research, Faculty of Business and Law, Victoria University, Australia
- **The Hon Dr Kingsley Moghalu**, Deputy Governor, Financial System Stability, Central Bank of Nigeria
- **Mr Michael Chan**, Associate, Dewey & LeBeouf LLP, London, UK
- **Dr Dionysios Demetis**, Information Systems and Innovation Group, Department of Management, London School of Economics
- **Mr Martin Bridges**, Partner, Bridges & Partners, London, UK
- **Dr Richard Alexander**, Lecturer in Financial Law, School of Oriental and African Studies, University of London
- **Professor Paul Larsson**, Professor and former Head of Research, Police University College, Oslo
- **Dr Alessandro Napolitano**, Legal Director, SACE S.P.A, Italy

**PARALLEL PLENARY WORKSHOP XXVI – 11:00 – 12:30**

**Holding Directors to Account – re-thinking the traditional responsibilities of directors and officers**

**Professor Johan Henning**, Dean of the Faculty of Law, University of the Free State, Republic of South Africa

**Dr Iris Chiu**, Senior Lecturer in Law, School of Law, University College, London, UK

**Professor Tom Hurst**, Professor of Securities Laws, College of Law, University of Florida, USA

**Mr Michael Ashe QC**, 9 Stone Buildings, London and Recorder of the Crown Court, England and Wales

**Mrs Catherine Pedamon**, Lecturer in Law, University of Westminster and BPP Law School, UK

**Ms Siti Faridah**, Senior Lecturer in Law, National University of Malaysia

**Ms Li-Hong Xing**, Senior Research Fellow, Centre for International Documentation on Organised and Economic Crime

**Ms Zhen Ye**, Researcher, Jesus College, Cambridge, UK

**Ms Anna Stipp Amador**,

**13:00**

**Lunch**

14:00

## Session XVI: A Risk Assessment – what is next?

Chair: **Ms Catherine Ahn**, Analyst, Terrorism and Financial Intelligence, Department of Treasury, USA

- **The Hon Mr Zenón Biagosch**, Director, Business School, Pontifical Catholic University, Argentina
- **Professor Ross Anderson**, Professor of Security Engineering, University of Cambridge, UK
- **The Hon Mr Yan Haiting**, Chief Representative of the People's Bank of China, UK
- **Professor Michell Gallant**, Associate Dean (Research and Graduate Studies) and Executive Director, Legal Research Institute, Faculty of Law, University of Manitoba, Canada
- **Mr John Mair**, Office of the Chief Compliance Officer, The European Bank of Reconstruction and Development, UK
- **Professor Emilia Kandeve**, Director, Institute of Legal Studies, Bulgarian Academy of Science, Bulgaria
- **Mr Kit Dawnay**, Senior Analyst, Research and Intelligence, AEGIS, Specialist Risk Management, UK
- **Dr David Langley**, formerly Ministry of Defence and Cabinet Office, UK
- **Dr Nick Ridley**, Senior Lecturer, John Grieve Centre, London Metropolitan University and former Senior Analyst, Europol
- **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA
- **The Hon Justice Abdulahi Mustapha**, former Chief Justice, Federal High Court of Nigeria
- **Professor Tom Hurst**, Professor of Securities Laws, College of Law, University of Florida, USA
- **Mr Frans van Proosdij**, Manager, Compliance and Risk Management, Robeco Direct, The Netherlands
- **Professor Margaret Beare**, The Director, The Nathanson Centre for Study of Organised Crime, Faculty of Law, York University, Toronto, Canada
- **Mr Courtney Linn**, Counsel, Orrick, Herrington & Sutcliffe LLP and former Assistant US Attorney, Sacramento, US Department of Justice
- **Professor Petrus van Duyne**, Professor of Empirical Penal Science, Tilburg University, Netherlands
- **Professor Manuelita Munoz**, Professor of Law, Inter American University School of Law, Puerto Rico
- **Mr Charana Jayasuriya**, Director, Ennid Capital (Pvt) Ltd, Sri Lanka
- **Mr Rob McCusker**, Director, Centre for Fraud and Financial Crime, Reader in Fraud and Financial Crime and Centre for Fraud and Financial Crime, Teesside University Business School, UK
- **Dr Christopher Sier**, Director, FS-Net, UK
- **Mr William Tupman**, Senior Research Fellow, University of Exeter, UK
- **Mr Michael Ashe QC SC**, 9 Stone Buildings, London and Recorder of the Crown Court, England and Wales

### Closing Addresses

- **Mr Saul Froomkin QC**, Chairman of the Symposium
- **Professor Barry A.K. Rider**, Director, Founder and Co-Chairman of the Symposium

19:00

Cocktails in the Marquee,

19:45

Dinner in Hall and Upper Hall, Jesus College

**After-dinner Addresses** by **Dr Michael Minden**, President of Jesus College, Cambridge and Senior Lecturer, Faculty of Modern and Medieval Languages, University of Cambridge, UK, **The Hon Dr Cao Jianming**, Prosecutor General of China and Head of the Supreme People's Procuratorate, People's Republic of China, **Mr Saul Froomkin QC**, Chairman of the Symposium and **Professor Barry A.K. Rider**, Director, founder and Co-Chairman of the Symposium introduced by **Colonel Robert Murfin**, Clerk of the Worshipful Company of Pattenmakers of the City of London

The above programme is confirmed subject to where the contrary is indicated by an asterisk. Inevitably given the number of speakers and panellists there will be changes and a fully updated programme will be available on the website, [www.crimesymposium.org](http://www.crimesymposium.org)

### Workshops

Participation in the **non-plenary** workshops will be restricted so as to facilitate informal discussion. Registration for workshops will be on a first come basis. The convenors for each workshop are indicated in the programme, but discussion will be open to all those participating.

## **Principal Organising Institutions**

### **Jesus College, Cambridge and the University of Cambridge**

Jesus College was founded as a college, within the University of Cambridge, in 1496 by Bishop Alcock of Ely. The history of the College is, however, rather more ancient. An order of nuns occupied the site and buildings for at least two hundred and fifty years before this. Since the inception of the annual Cambridge Symposium, twenty-eight years ago by Professor Barry Rider, the Master and Fellows of the College have been pleased to host the event and the College administers all financial matters pertaining to the programme.

The earliest record of the University of Cambridge is in 1209 so the University has just celebrated its 800th anniversary. The University consisting of over 100 departments, faculties and schools has a deserved international reputation for excellence. A number of the University's centres and programmes are involved in supporting the annual Cambridge Symposium on Economic Crime.

### **The Centre for International Documentation on Economic and Organised Crime (CIDOEC)**

CIDOEC was established as a non-profit making organisation in 1988 to promote collaborative and comparative research in the prevention and control of organised and economic crime. It is based in Cambridge, although it has branches in southern Africa, North America, Latin America and the Far East. The Chairman of CIDOEC's Advisory Board is Mr Saul M Fromkin QC, a former Attorney General of Bermuda.

### **The Institute of Advanced Legal Studies and the Society for Advanced Legal Studies**

The IALS was established in 1947 and is a constituent member of the School for Advanced Study of the University of London. Although part of the University of London, the Institute's role is national, and is funded by government on this basis. Its library is the national law library and the Institute's research staff is concerned with promoting and conducting research across a broad spectrum of legal and related issues. The Institute's Advisory Council is chaired by The Rt Hon The Lord Hope of Craighead, Lord of Appeal in Ordinary.

### **The International Association of Anti-Corruption Authorities**

The IAACA was established by resolution of a number of governments at a meeting hosted by the Prosecutor General of China in Beijing in October 2006. The primary objective of the IAACA is to facilitate the effective implementation of the UN Convention against Corruption and assist governments generally in fighting corruption and corruption related crime. The IAACA's Secretary General is Dr Ye Feng of the Supreme People's Procuratorate of China.

## **Principal Sponsors**

### **Serious Fraud Office of England and Wales**

The Serious Fraud Office is an independent government department with jurisdiction in England and Wales. Its mission is to protect society from serious economic crime. It does this through a combination of investigation, prosecution or civil disposal, disruption and education. The SFO works in partnership nationally and internationally with the law enforcement community to chase down and address serious economic crime and so get justice for victims and maintain confidence in the UK's business and financial institutions.

### **The People's Bank of China**

The People's Bank of China (PBC) was established on December 1, 1948 and is China's central bank. The Law of the PRC adopted by the 10th National People's Congress on December 27, 2003, provides that the PBC among other duties is responsible for drafting and enforcing relevant laws relating to its functions; formulating and implementing monetary policy; regulating financial markets, including the inter-bank lending market; preventing and mitigating systemic financial risks to safeguard financial stability and providing guidance in regard to the control of money laundering in financial sector and maintaining an FIU

**A complete list of all the organisations around the world supporting the annual Cambridge Symposium is to be found on page 2 of this programme**

**For information on other CIDOEC programmes and  
*The Journal of Financial Crime* and *The Journal of Money Laundering Control*,  
Please contact**

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**The 28th Cambridge International Symposium on  
Economic Crime**

**The New Deal – ensuring integrity, stability and  
survival**

**Sunday 5<sup>th</sup> September - Sunday 12<sup>th</sup> September 2010  
Jesus College, Cambridge**

**For further information, please contact**

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**or visit**

**[www.crimesymposium.org](http://www.crimesymposium.org)**