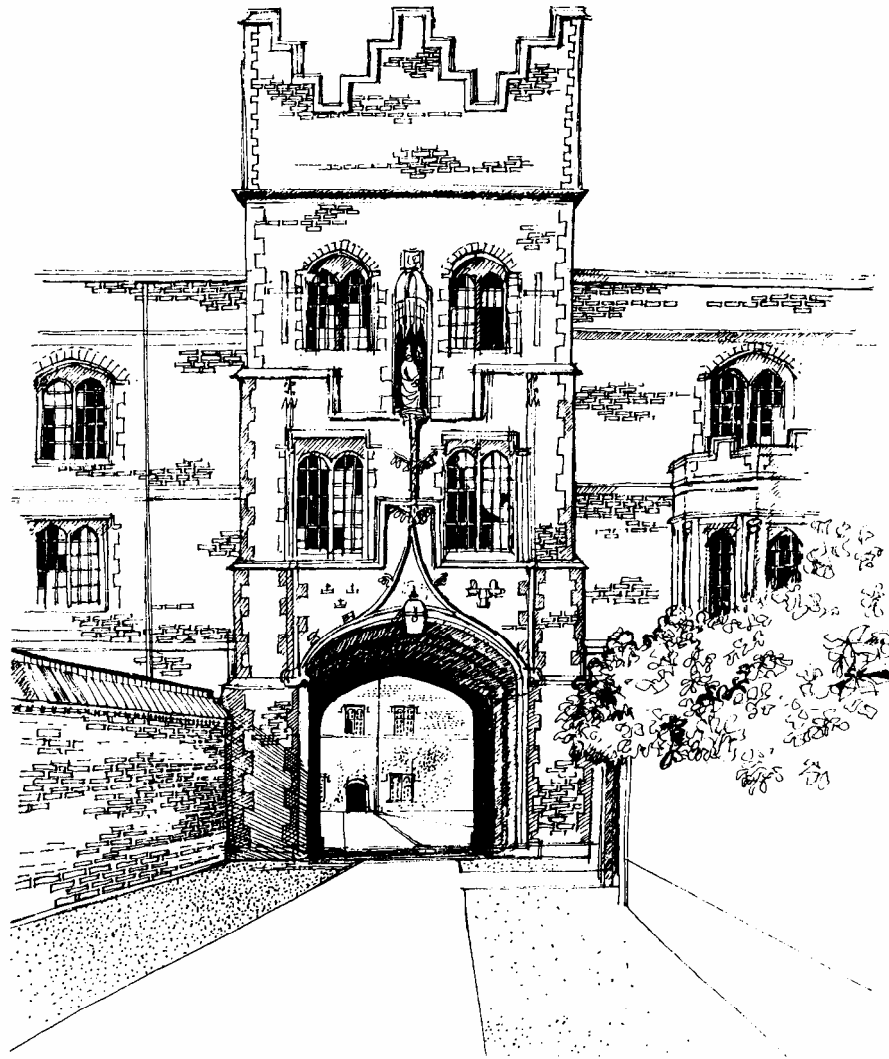


TWENTY-SIXTH INTERNATIONAL SYMPOSIUM ON ECONOMIC CRIME

SUNDAY 31ST AUGUST - SUNDAY 7TH SEPTEMBER 2008

JESUS COLLEGE, UNIVERSITY OF CAMBRIDGE

Banking on Trouble



THE INTERNATIONAL ASSOCIATION OF ANTI-CORRUPTION AUTHORITIES



CIDOEC



UNIVERSITY OF
CAMBRIDGE



The Organising Institutions

The Centre for International Documentation on Organised and Economic Crime
The Institute of Advanced Legal Studies, University of London
The Society for Advanced Legal Studies
Cass Business School, City University, City of London
International Association of Anti-Corruption Authorities
The International Chamber of Commerce
Centre for European and International Financial Law, University of Siena, Italy
The Australian Institute of Criminology
The Centre for Strategic and Global Studies, Russian Academy of Sciences
The Nathanson Centre for the Study of Organized Crime and Corruption, York University, Canada
IS Integrity Group, London School of Economics and Political Science, University of London
The British Institute of Securities Laws
The Paolo Baffi Centre for Monetary and Financial Economics, Università Commerciale Luigi Bocconi, Milan
The Dickinson School of Law, Pennsylvania State University, USA
The Stockholm School of Economics, Sweden
Keio University, Japan
Department of Business Law and Taxation, Monash University, Australia
Saint Louis University, USA
University of the Free State, Republic of South Africa
Center for International Financial Crimes Studies, University of Florida, USA
Hungarian Academy of Sciences
Institute for Legal Studies, Bulgarian Academy of Sciences
Computer Security Group, University of Cambridge
Centre for Criminology, University of Hong Kong
Development Studies, University of Cambridge
The Graduate School of Arts and Sciences, University of Tokyo
University of Cyprus
International Compliance Association

In association with: Jesus College, University of Cambridge

The 26th Cambridge International Symposium on Economic Crime

Banking on Trouble

This year the Cambridge International Symposium on Economic will celebrate its twenty-sixth year. It is a testament to the Symposium's unique blend of relevance, practicality and topicality that has not only resulted in successively larger and more comprehensive programmes, but also the realisation on the part of governments and financial and other institutions of the extent of the risks thrown up by economic crime and in particular organised crime. The annual Cambridge Symposium is a truly unique event which over the years has made an unrivalled contribution to understanding the real issues involved in preventing and controlling economically motivated serious crime and thereby promotes meaningful responses and in particular fosters co-operation.

The Symposium brings together in one of the oldest medieval Colleges within the University of Cambridge, ministers, legislators, senior officials, diplomats, judges, regulators, law enforcement, intelligence and security officers, financial intermediaries, bankers, professional advisers, compliance and risk officers and scholars from around the world. It regularly attracts over 900 participants from 80 or so different countries – many of whom return year after year.

The Organising Committee of the Symposium gives careful consideration, after consultation with governmental and other institutions, to designing each programme. The Twenty-Sixth Symposium will focus on the threats confronting from both inside and outside, the stability, integrity and viability of banking and other financial institutions. Our programme which consists of keynote and plenary sessions, supported by numerous workshops, will address those areas of risk thrown up not just by crime and terror, but also our responses to such threats, in the context of protecting and creating wealth. This year we will give particular attention to initiatives designed to address the problems resulting from serious corruption and its control. However, there will also be a focus on the impact of highly profitable crimes on the system and in particular those who are responsible for minding other people's wealth. In the result the programme provides a unique opportunity at a very practical level, to share the experiences of over 300 specialist speakers and panellists in the prevention and control of risks to the integrity of the financial system, government and our societies.

The programme is structured to provide a depth and breadth of opportunity, second to none, for those participating in the programme to become aware not only of existing, but also new threats, and how best to address them. The very real regulatory, legal and reputational risks that confront those responsible for protecting the wealth of others, not only in the context of anti-money laundering controls, but also in many other and often developing areas of regulation, have always been a key issue for the Symposium. Through the numerous plenary and other workshops and in particular the considerable opportunities to meet colleagues socially, there is a degree of inter-action and collaboration that could only be encountered in an institution that has a record for promoting learning and education which is truly unique. The University of Cambridge with collaborating institutions throughout the world is able to offer a wholly different experience in addressing these fundamentally important issues.

The Cambridge Symposium is not and has never been just a conference. It is organised on a non-profit making basis by some of the world's most respected academic and research institutions with the active involvement and support of numerous governmental and inter-governmental organisations. It also draws on the support of many leading practitioners and experts within the financial and commercial sectors. It was established twenty-six years ago to promote meaningful international co-operation – in the fight against financial crime and criminal activity that is economically relevant. Its record is its best testament. Successive symposia have made a real contribution – at all levels, to promoting stability, good governance and the disruption and control of serious crime and terror. Those who are concerned to protect and promote the integrity and wellbeing of their national economy, institution or enterprise – or who are concerned to better understand the risks facing business today cannot afford to miss this special event.

Professor Barry A.K. Rider
Symposium Director and Co-Chairman,
Jesus College,
Cambridge.

Sunday, 31st August 2008

- 13:00-16:30** Registration in the Marquee, Jesus College, Cambridge
- 18:30** Cocktails in the Marquee
- 19:45** Dinner in Hall and Upper Hall, Jesus College

A civic welcome will be given by The Right Worshipful Mayor of the City of Cambridge, **Councillor Mike Dixon**. After-dinner Addresses will be given by **Sir Kenneth Warren**, former Chairman, Select Committee on Trade and Industry, House of Commons, UK and International Consultant **Mr Saul M Froomkin QC**, Chairman of the Symposium, Senior Litigation Partner, Mello Jones & Martin, Bermuda and former Attorney General of Bermuda and Director of Criminal Law, Federal Government of Canada and **Professor Barry A.K. Rider**, Director and Co-Chairman of the Symposium, introduced by **Dr Chizu Nakajima**, Co-Director of the Symposium and Director, Centre for Financial Regulation and Crime, Cass Business School, City University

Monday, 1st September 2008

08:00 Inaugural Addresses

- **Mr Saul Froomkin QC**, Chairman of the Symposium, Senior Litigation Partner, Mello Jones & Martin, Bermuda and former Attorney General of Bermuda and Director of Criminal Law, Federal Government of Canada
- **Professor Barry A.K. Rider**, Director and Co-Chairman of the Symposium
- **Dr Chizu Nakajima**, Co-Director of the Symposium and Director, Centre for Financial Regulation and Crime, Cass Business School, City University

08:30 Keynote Addresses

Chair: **Mr Saul M Froomkin QC**, Symposium Chairman

- **The Lord William Goodhart QC**, former Shadow Lord Chancellor of the Liberal Democrats
- **The Hon Professor G L Peiris MP SC**, Minister of Enterprise Development, Industrial Policy and Investment Promotion, Government of Sri Lanka, former Minister of Justice and Constitutional Affairs and Deputy Minister of Finance, Sri Lanka and former Vice-Chancellor, University of Colombo
- **The Hon Ms Kathleen Quartey**, Attorney General, British Virgin Islands and former Chief State Attorney, Ministry of Justice, Ghana
- **Mr Michael Klein**, Vice President, The World Bank, USA
- **Mr Franz-Hermann Bruener**, Director General, Anti-Fraud Office, European Commission Anti-Fraud Office, Brussels
- **The Hon Justice Abdulahi Mustapha**, Chief Judge, Federal High Court, Nigeria
- **The Hon Mr Claude Nicati**, Deputy Attorney General of Switzerland
- **Mr Robert Werner**, former Director, Financial Crimes Enforcement Network, Department of Treasury USA and Managing Director, Global Monetary and Financial Control Group and Global Bank Group Compliance, Merrill Lynch, USA

10:30 Coffee

10:45 Keynote Addresses (continued)

Chair: **Mr Saul M Froomkin QC**, Symposium Chairman

- **Mr Shozo Kumano**, Commissioner, Securities and Exchange Surveillance Commission, Japan
- **The Hon Dr Constancia De Guzman**, Chairman, Presidential Anti-Graft Commission, The Philippines
- **Mr Greg Tanzer**, Secretary General, International Organisation of Securities Commissions (IOSCO)
- **Mr David Johnston**, Head, The National Technical Assistance Centre, UK
- **The Hon Dr Ahmed Jachi**, Deputy Governor, Banque du Liban
- **The Hon Mr Zenón Biagosch**, Director, Central Bank of Argentina
- **Mr Yan Haiting**, Chief Representative of the People's Bank of China
- **Mr Peter German**, Assistant Commissioner and former Director General, Financial Crime, Royal Canadian Mounted Police
- **Dr Massimo Nardo**, National Financial Intelligence Unit, Banca d'Italia

12:45 Group Photograph, Jesus College

13:00 Lunch in Hall and Upper Hall, Jesus College

14:00

Session Ia: Economic Stability and Integrity – at risk

Chair: **Sir Kenneth Warren**, International Consultant, former Chairman, Select Committee on Trade and Industry, House of Commons, UK

- **Mr Grant Liddell**, The Director, Serious Fraud Office, New Zealand
- **Mr Stefan Cassella**, Deputy Chief, Asset Forfeiture and Money Laundering Section, Department of Justice, USA
- **Mr Bob Evans**, Head of Corporate Services, Serious Fraud Office of England and Wales
- **Dr Irina Abramova**, Deputy Director, Institute of African and Arab Studies, Russian Academy of Sciences, Moscow
- **Mr Neil Stocks**, Head of Group Compliance, Member of the Managing Board, UBS AG
- **Mr Clark Abrams**, Assistant District Attorney and Chief, Money Laundering and Financial Crime Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA
- **Ms Clare Wee**, Director, Integrity Division, Asian Development Bank, The Philippines

16:15

Tea

16:30

Session Ib: (Continued)

- **Mr Joseph Cachey III**, Senior Vice President, AML Global Compliance, Western Union, USA
- **Ms Susan Galli**, Director and Practice Leader, AML Compliance Advisory and Forensics, BDO Consulting, USA
- **Mr Frederic Raffray**, Crown Advocate, Guernsey
- **Professor Khawar Qureshi QC**, Junior Treasury Counsel and Barrister, Serle Court, London and Visiting Professor of Law, School of Oriental and African Studies, University of London
- **Dr Shailaja Fennell**, Lecturer and Assistant Director, Development Studies, University of Cambridge and Fellow of Jesus College, Cambridge
- **Mr Andrew Quinlan**, President, Center for Freedom and Prosperity, USA
- **Professor Douglas Arner**, Director, Asian Institute of International Financial Law and Associate Professor, Faculty of Law, University of Hong Kong
- **Professor Mario Serio**, Professor of Comparative Law, University of Palermo, Italy and former Consigliere, Consiglio Nazionale della Magistratura and Consiglio Superiore della Magistratura, Rome
- **Professor Johan Henning**, Dean of the Faculty of Law, University of the Free State, Republic of South Africa
- **Professor William Byrnes IV**, Walter H. & Dorothea B. Diamond International Tax & Financial Services Program, Assistant Dean Thomas Jefferson School of Law, San Diego, USA

18:45

Cocktails in the Marquee generously hosted by the International Compliance Association

19:45

Dinner in Hall and Upper Hall, Jesus College,

After-dinner Addresses by **Ms Margaret Cole**, Director of Enforcement, Financial Services Authority, UK and **HE Dr Lindwe Mabuza**, High Commissioner, Republic of South Africa, introduced by **Dr Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University, with a vote of thanks proposed by **Mr Saul Froomkin QC**, Symposium Chairman

Tuesday, 2nd September 2008

08:00

Theme: Corruption – the enemy of stability, integrity and development

Keynote Addresses

Chair: **The Hon Mr Claude Nicati**, Deputy Attorney General of Switzerland

- **Ms Helen Hatton**, Deputy Director, Jersey Financial Services Commission
- **Dr Cora Smolianski**, Vice Director, Legal Affairs, Insurance Regulation Commission, Argentina

08:30

Session II: Corruption – the facilitator of serious crime

Chair: **Captain P Mukundan**, Director, Commercial Crime Services, International Chamber of Commerce, UK

- **Dr Alain Sham**, Head, Triad, Organised Crime and Anti-Terrorist Section and Senior Assistant Director of Public Prosecutions, Department of Justice, Hong Kong
- **Professor Valeriy Dimitrov**, President of the National Audit Office, Bulgaria and Professor of Administration and Financial Law, University of Economy, Sofia, Bulgaria

- **Colonel Mario Imparato**, Head, Guardia di Finanza, Province of Avellino, Italy
- **The Hon Justice Olayinka Faji**, Judge of the Federal High Court, Nigeria
- **Mr Raymond Yuen**, Acting Principal Investigator, Department of Operations, The Independent Commission Against Corruption, Hong Kong
- **Professor Margaret Beare**, The Director, The Nathanson Centre for Study of Organised Crime, Faculty of Law, York University, Toronto, Canada
- **Mr George Mills**, Anti-Corruption Expert, The European Union Rule of Law Mission to Kosovo
- **Mr Felipe Dantas de Araujo**, Federal Attorney, Office of the General Comptroller of the Union, Brazil

10:30 Coffee

10:45 **Session III: The identification and monitoring of Politically Exposed Persons (PEPs)**

Chair: **Ms Catherine Fung**, Senior Public Prosecutor, Deputy Head, Proceeds of Crime, Department of Justice, Hong Kong

- **Ms Suzanne Williams**, Manager of BSA/AML Risk Section, Division of Banking, Supervision and Regulation, Board of Governors, Federal Reserve System, USA
- **Mr Daniel Thelesklaf**, Co-Executive Director, Basel Institute on Governance, Switzerland
- **Mr Keith Martin**, Director, Compliance Division, Office of the Superintendent of Financial Institutions, Government of Canada
- **Dr Hans Peter Bauer**, Consultant, Switzerland
- **Dr Thomas Spies**, Counsel and Senior Manager, Regulatory Services Group, KPMG, Germany
- **Professor Louis de Koker**, Director, Centre for the Study of Economic Crime, Faculty of Law, University of Johannesburg, Republic of South Africa
- **Professor Leonid Fituni**, Director, Centre for Strategic and Global Studies, Russian Academy of Sciences, Moscow
- **Ms Juliet Ibekaku**, Counsel, Inter-Governmental Action Group against Money Laundering and Terrorism Financing, Senegal
- **Mr Navin Beekary**, Senior Consultant, International Monetary Fund, USA and former Chairman of the Anti-Corruption Commission, Mauritius
- **Dr Alessandro Napolitano**, Legal, Corporate & Compliance Division Director, SACE Spa, Italy

12:45 Lunch in Hall and Upper Hall, Jesus College

13:45 **Session IV: Pursing the assets of the corrupt and the impact on financial institutions**

Chair: **Professor Barry A.K. Rider**, Director and Co-Chairman of the Symposium

- **The Hon Dr Ye Feng**, Director General, International Judicial Co-Operation, Supreme People's Procuratorate of the People's Republic of China and Vice President, International Association of Prosecutors and Secretary General, International Association of Anti-Corruption Authorities.
- **Mr Jeff Simser**, Legal Director, Ministry of the Attorney General, Canada
- **Mr Tom Newkirk**, Partner, Jenner & Block LLP and former Associate Director, Division of Enforcement, Securities and Exchange Commission, USA
- **Dr Cécile Ringgenberg**, Senior Partner, Ringgenberg & Schulthess, Geneva, Switzerland
- **Dr Marcelo Ruiz**, Partner, Estudio Vanguero, Ferrari & Ruiz, Buenos Aires and former Counsellor, Argentine Embassy, London
- **Dr Konyin Ajayi SC**, Managing Partner, Olaniwun Ajayi & Co, Nigeria
- **Professor Johan Henning**, Dean of the Faculty of Law, University of the Free State, Republic of South Africa
- **Professor Simon Young**, Associate Professor, Faculty of Law, University of Hong Kong
- **Mr Tyler Hodgson**, Chief Legal Officer, Dubai First International, Dubai

15:45 Tea

16:00 Closed meeting of AGIS Group

PLENARY WORKSHOP I – 16:15-17:15

**PEPS: Redefining the definition through case studies and latest best practice
Presented by World-Check**

Mr Patric Marshall, Director, World-Check
Mr Robert Mitchell, World-Check

PLENARY WORKSHOP II – 16:15-17:15

Risk based Anti-Money Laundering Programmes

Mr Clark Abrams, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA

Mr Robert Werner, Managing Director, Global Monetary and Financial Control Group and Global Bank Group Compliance, Merrill Lynch, USA and former Director, Financial Crimes Enforcement Network, Department of Treasury, USA

Mr Robert Goecks, Principal, Litigation Consulting and Forensic Accounting Services, Eisner LLP, USA and former Senior Vice President and Director, Anti-Money Laundering Division, United States Trust Company

PLENARY WORKSHOP III – 16:15-17:15

International money laundering investigations: perils and pitfalls for the practitioner

Chair: **Mr Ian Comisky**, Partner, Blank, Rome LLP, Philadelphia, USA and formerly of the Department of Justice, USA

Mr Dana Boente, First Assistant US Attorney, Eastern District of Virginia, Department of Justice, USA

Ms Miriam Fisher, Partner, Morgan Lewis LLP, USA

Mr Larry Campagna, Chamberlain, Hrdlikca, White, Williams & Martin, USA

PLENARY WORKSHOP IIIa – 16:15-17:15

Hedge Fund Fraud – will it travel to the UK?

Mr Julian Korek, Kinetic Partners LLP

Mr Nick Matthews, Kinetic Partners LLP

Ms Emma Porter, Kinetic Partners LLP

WORKSHOP 1 – 17:30-18:30

Counterfeiting and organised crime

Mr Peter Lowe, Assistant Director, Counterfeiting Intelligence Bureau, International Chamber of Commerce

Mr Max Vetter, Manager, Counterfeiting Intelligence Bureau, International Chamber of Commerce

WORKSHOP 2 – 17:30-18:30

EDGE – Criminal Money Management as a cutting EDGE between Organized Crime and Terrorism

Mr Thomas Schulte, Head of Organized Crime Intelligence Unit, State Criminal Prosecution Office, Germany

WORKSHOP 3 – 17:30-18:30

Behavioural AML Modelling: Inter-organisational and software implications

Chair: **Mr Bernard Dyer**, Visiting Research Fellow, Department of Management, London School of Economics and Political Science, University of London

Dr Dionysios Demetis, Research Associate, GATE Project, London School of Economics and Political Science, University of London

Dr Massimo Nardo, Co-ordinator, National Financial Intelligence Unit Study Groups on Organised and Economic Crime, Banca d'Italia

Mr Georgio Merlonghi, Deputy Head, Data Analysis and Management, National Financial Intelligence Unit, Banca d'Italia

WORKSHOP 4 – 17:30-18:30

Countering Credit Card Fraud in partnership with the banking industry

Mr Mike Dixon, Detective Inspector, Metropolitan Police, UK

Mr Graham Goodwin, Detective Inspector, Metropolitan Police, UK

Mr Alan Moralee, Detective Constable, Metropolitan Police, UK

Ms Soraya Hosking, Detective Constable, Metropolitan Police, UK

WORKSHOP 5 – 17:30-18:30

An Overview of the "Sub-prime" mess!

Mr Tom Newkirk, Partner, Jenner & Block LLP and former Associate Director, Division of Enforcement, Securities and Exchange Commission, USA

Mr Monty Rankin, Attorney at Law, Florida, USA

WORKSHOP 6 – 17:30-18:30

Asset Forfeiture in practice

Dr Angela Leong, Consultant, AGIS, City University and formerly of the Assets Recovery Agency, UK

Professor Simon Young, Associate Professor, Faculty of Law, University of Hong Kong

Mr Stefan Cassella, Deputy Chief, Asset Forfeiture and Money Laundering Section, Department of Justice, USA

WORKSHOP 7 – 17:30-18:30

Money Laundering and Corruption - a double-edged sword

Ms Susan Galli, Director and Practice Leader, AML Compliance Advisory & Forensics, BDO Consulting, USA
Mr Brian Mich, Director, BDO Consulting, USA
Mr David Malone, Manager, Fraud Services Unit, BDO Stoy Hayward LLP

WORKSHOP 8 – 17:30-18:30

Profiling the business activities of Organised Crime

Mr William Tupman, Senior Research Fellow, University of Exeter, Consultant in Terrorism and Organised Crime
Dr Samah Aga, University of Damascus, Syria
Dr George Millard, Police Chief and Professor, Sao Paulo State Police, Brazil
Lieutenant Colonel Francesco Pisano, DIA Anti - Money Laundering Unit, Italy

19:00 **Cocktails** in the Marquee,

19:45 **Dinner** in Hall and Upper Hall, Jesus College both generously hosted by **World-Check**

After-dinner Addresses by **The Hon Dr Ye Feng**, Director General, International Judicial Co-Operation, Supreme People's Procuratorate of the People's Republic of China and Vice President, International Association of Prosecutors and Secretary General, International Association of Anti-Corruption Authorities , **HE Mr Abhimanu Kundasamy**, High Commissioner, Mauritius High Commission and **Mr William Kattan**, Director, Bermuda Monetary Authority, introduced by **Mr Mark Blandford-Baker**, Magdalen College, University of Oxford with a vote of thanks proposed by **Dr Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University

Wednesday, 3rd September 2008

08:00 **Theme: Financial Crime and Market Stability**

Keynote Addresses

Chair: **Professor Barry A.K. Rider**, Director and Co-Chairman of the Symposium

- **Mr Philip Robinson**, Director of Financial Crime & Intelligence and Sector Leader for Financial Crime, Financial Services Authority, UK
- **Mr Terrence Williams**, Director of Public Prosecutions, British Virgin Islands

08:30 **Session V: Financial Crime and the risks to banks and other financial institutions**

Chair: **Mr Richard Gillingwater**, Dean, Cass Business School, London, and formerly Chairman, The Shareholder Executive, HM Government, UK and Chairman CSFB European Investment Banking, London

- **Mr Gopal Sundaram**, Assistant Governor, Central Bank of Malaysia
- **Ms Rosalind Wright**, Chair, Fraud Advisory Panel and former Director of the Serious Fraud Office of England and Wales
- **Mr Marcel Philippe**, Assistant District Attorney and Senior Investigative Counsel, Money Laundering and Forfeiture, Office of the Special Narcotics Prosecutor, City of New York, USA
- **Mr Shozo Fujita**, Director, Jury Trial Division, The Supreme Office of Public Investigation and Prosecution, Japan
- **Mr John Moscow**, Baker & Hostetler, USA and former Assistant District Attorney, Office of the District Attorney of New York, USA
- **Mr Rene Bruelhardt**, Director, Financial Intelligence Unit, Liechtenstein
- **Mr John Masters**, Crown Counsel, Cayman Islands
- **Mr George Gunn**, Manager Surveillance, Enforcement Branch, Ontario Securities Commission, Canada
- **Mr Richard Parlour**, Principal, Financial Markets Law International, UK

10:30 **Coffee**

10:45 **Session VI: The Penetration of banks and other financial institutions by criminal organisations**

Chair: **Dr Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University

- **Mr Peter German**, Assistant Commissioner and former Director General, Financial Crime, Royal Canadian Mounted Police
- **Mr Philip Rutledge**, Partner, Bybel Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission
- **Mr Andy Fyfe**, Detective Inspector, Economic Crime Department, City of London Police
- **Mr Rune Grundekjøn**, Kredittilsynet, Financial Services Authority, Norway
- **Mr Denis Heneghan**, Detective Inspector, Bureau of Fraud Investigation, Garda Síochána, Ireland
- **Mrs Svetla Konstantinova**, Attorney-at-Law, Sofia, Bulgaria and former Counsellor, Bulgarian Embassy, London
- **Mr Tomas Veselka**, Commissioner, Corruption and Financial Crime Unit, Czech Police Service
- **Ms Anne Sheedy**, Head of Operations, CIFAS, UK
- **Professor Dan Magnusson**, Professor of Law and Society, Jönköping International Business School, Sweden and former Deputy Director of the Swedish Economic Crime Bureau
- **Mr Mihai Florin Stoleru**, Head of International Relation Office, Anti-corruption General Directorate, Ministry of Interior and Administrative Reform, Romania
- **Mr James Mews**, Director, Finance Industry Development, Chief Minister's Department, States of Jersey, UK
- **Dr Angela Leong**, Consultant, AGIS, City University and formerly of the Assets Recovery Agency

12:45 Lunch in Hall and Upper Hall, Jesus College

14:00 **Session VII: The risks to those who transfer and remit wealth – of being misused by organised crime and other financial criminals**

Chair: **Mr Richard Collins**, Managing Director, Authorisation, Qatar Financial Centre Regulatory Authority

- **Mr Steven Wilmott**, Head of Economic Crime, City of London Police, UK
- **Mr Courtney Linn**, Assistant US Attorney, Sacramento, Department of Justice, USA
- **Mr Yehuda Shaffer**, Director, Israel Money Laundering Prohibition Authority, Government of Israel
- **Mr Jyoti Trehan**, Additional Director General of Police, Punjab State Human Rights Commission, India
- **Mr Michael Tuckett**, Director, State of New York Banking Department, USA
- **Professor Dayanath Jayasuriya**, former Chairman, Securities and Exchange Commission, Government of Sri Lanka
- **Mr Tsang Cheung-tat**, Senior Superintendent, Commercial Crime Bureau, Hong Kong Police Force
- **Mr John Mair**, Consultant, UK
- **Professor Mahmood Mohamed Sanusi**, Associate Professor of Law, International Islamic University, Malaysia
- **Professor Manuelita Munoz**, Professor of Law, Interamerican University School of Law, Puerto Rico
- **Mr David Copley**, Principal Officer, Proceeds of Crime, Serious Organised Crime Agency, UK

Session VIII (Parallel Session): Financial Crime – the electronic dimension

Chair: **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA

- **Professor Ross Anderson**, Head, Computer Security Group, University of Cambridge and Foundation for Internet Policy Research, UK
- **Dr James Backhouse**, Reader in Information Systems, Department of Management, London School of Economics and Political Science, University of London
- **Mr Stephen Marsh**, Chief, E-Government Unit, Cabinet Office, UK
- **Dr Michel Picard**, Intelligence Analyst, Joint Securities Intelligence Unit, Royal Canadian Mounted Police, Canada
- **Mr Nigel Phair**, Superintendent, Australian Federal Police, Australia
- **Mr Giorgio Merlonghi**, Deputy Head, Data Analysis and Management, National Financial Intelligence Unit, Banca d'Italia
- **Dr David Chaikin**, Senior Lecturer, School of Business, University of Sydney, Australia
- **Dr Jackie Harvey**, Principal Lecturer in Finance, Newcastle Business School, Northumbria University, UK
- **Dr Richard Clayton**, Computer Laboratory, University of Cambridge, UK
- **Dr Dionysis Demetis**, Research Associate, GATE Project, Department of Management, London School of Economics and Political Science, University of London

16:00 Tea

PLENARY WORKSHOP IV– 16:15-17:15

Bank Frauds and tracking the assets of Insolvents

Mr Albert Tellechea, Partner, Holland & Knight, USA

Ms Suzanne Gilbert, Partner, Holland & Knight, USA

PLENARY WORKSHOP V – 16:15 – 17:15

Can AML/ATF benefit from behavioural modelling?

Chair: **Dr James Backhouse**, Reader in Information Systems, Department of Management, London School of Economics and Political Science, University of London

Mr Stephen Marsh, Chief, E-Government Unit, Cabinet Office, UK

Dr Dionysios Demetis, Research Associate, GATE Project, Department of Management, London School of Economics and Political Science, University of London

Dr Massimo Nardo, Co-ordinator, National Financial Intelligence Unit Study Groups on Organised and Economic Crime, Banca d'Italia

Mr Giorgio Merlonghi, Deputy Head, Data Analysis and Management, National Financial Intelligence Unit, Banca d'Italia

PLENARY WORKSHOP VI – 16:15-17:15

Financial Sanctions

Chair: **Mr Jason Haines**, Senior Regulatory Consultant, MPAC

Mr Graeme Miller, National Terrorist Financial Investigation Unit, UK

Mr Robert Werner, Managing Director, Global Monetary and Financial Control Group and Global Bank Group Compliance, Merrill Lynch, USA and former Director, Financial Crimes Enforcement Network, Department of Treasury, USA

Mr Kit Dawnay, Senior Analyst, Research and Intelligence, AEGIS, Specialist Risk Management, UK

Mr Stevenson Munro*, Head, AML Compliance, UBS Investment Bank

PLENARY WORKSHOP VIa – 16:15 – 17:15

Evaluating Anti-Money Laundering Initiatives in a Global Context

Dr Russell Smith, Principal Criminologist, Australian Institute of Criminology

Professor Jason Sharman, Centre for Governance and Public Policy, Griffith University, Australia

Dr George Gilligan, Senior Research Fellow, Department of Business Law and Taxation, Monash University, Australia

Forum – 16:30 – 18:30

AGIS project on the Criminal Penetration of Financial Institutions and Internal Crime

WORKSHOP 9 – 17:30-18:30

Online Crime - where technology, economics and psychology meet

Professor Ross Anderson, Head, Computer Security Group, University of Cambridge and Foundation for Internet Policy Research, UK

Dr Richard Clayton, Computer Laboratory, University of Cambridge, UK

Dr Russell Smith, Principal Criminologist, Australian Institute of Criminology

Professor David Wall, School of Law, University of Leeds, UK

Mr Rob McCusker, Senior Lecturer, University of the West of England

WORKSHOP 10 – 17:30-18:30

Challenges facing FIUs/ARA in Developing Countries

Dr Abdullahi Shehu, Director General, Inter-Governmental Action Group against Money Laundering and Terrorism, Senegal

Mr Alan Lambert, Consultant and former Head of Hertfordshire Police Financial Investigation Unit and Consultant to the Foreign Office

<p align="center"><u>WORKSHOP 11 – 17:30-18:30</u></p> <p align="center">Holistic jurisdictional risk ranking and analysis Presented by Country-Check</p> <p align="center">Mr Ridzwan Bin Aminuddin, Head of Development, Country-Check</p>	<p align="center"><u>WORKSHOP 12 – 17:30-18:30</u></p> <p align="center">Insolvency Fraud</p> <p align="center">Mr Mike Stubbs, Partner, Mischon de Reya, UK Mr Matthew Collings QC, Maitland Chambers, UK</p>
<p align="center"><u>WORKSHOP 13 – 17:30-18:30</u></p> <p align="center">Financial institutions at risk, a New York perspective: Information Sharing, SARs/STRs, and fraudulent documentation</p> <p>Mr Clark Abrams, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA Mr Sean O'Malley, Deputy Chief Investigator, Enforcement Division, Federal Reserve Bank of New York Ms Donna Luisi, Senior Criminal Research Specialist, New York High Intensity Financial Crimes and Money Laundering Area, Immigration and Customs Enforcement, Department of Homeland Security, USA Mr Marcel Philippe, Assistant District Attorney and Senior Investigative Counsel, Money Laundering and Forfeiture, Office of the Special Narcotics Prosecutor, City of New York, USA</p>	<p align="center"><u>WORKSHOP 14 – 17:30-18:30</u></p> <p align="center">Cross cultural integrity – in practice</p> <p>Dr Wesley Harry, Visiting Fellow, Cass Business School, City University, London Mr Keith Jackson, Visiting Fellow, Cass Business School, City University, London</p>
<p align="center"><u>WORKSHOP 15 – 17:30-18:30</u></p> <p align="center">Harnessing the power of information to deter, prevent and investigate financial crime: strategic, tactical and operational perspectives on the importance of intelligence</p> <p>Mr Jerry Hart, Product Marketing Manager, i2 Ltd Mr Mark Clemison, Vodafone, UK Ms Eleanor Nichol, Global Witness, UK</p>	<p align="center"><u>WORKSHOP 16 – 17:30-18:30</u></p> <p align="center">Dubai Financial Services Authority Hedge Funds Code of Practice: one regulator's proactive approach</p> <p>Mr Ian Johnston, Managing Director, Policy and Legal Services, Dubai Financial Services Authority, Dubai Mr Stephen Glynn, Director Enforcement, Dubai Financial Services Authority, Dubai</p>

19:00 **Cocktails** in the Marquee - generously hosted by **i2 Ltd**

19:45 **Dinner** in Hall and Upper Hall, Jesus College

After-dinner Addresses by **The Rt Hon The Lord Davidson of Glen Clova QC**, Advocate General for Scotland, **HE Mr Hubert Wurth**, Ambassador Extraordinary and Plenipotentiary of Luxembourg to the Court of St James's and **Mr Zhang Lirong**, Minister Counsellor of the Embassy of the People's Republic of China, introduced by **Ms May Li-Hong Xing**, Consultant, Beachcroft LLP with a vote of thanks proposed by **Mr Clark Abrams**, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA

Thursday, 4th September 2008

08:00 **Keynote Addresses**

Chair: **Professor Johan Henning**, Dean of the Faculty of Law, University of the Free State, Republic of South Africa

- **Professor Ernesto Savona**, Professor of Criminology, Catholic University of Milan and Director of Transcrime, Research Centre for Transnational Crime, University of Trento, Italy
- **Professor Michael Levi**, Professor of Criminology, Cardiff University, UK
- **Dr Abdullahi Shehu**, Director General, Inter-Governmental Action Group against Money Laundering and Terrorism Financing, Senegal

08:30 **Session IX: Financial crime and frauds against Banks – the new risks**

Chair: **Mr Oliver Sells QC**, Recorder of the Crown Court, England and Wales

- **Mr Peter Neville**, Director General, Guernsey Financial Services Commission
- **Mr Clark Abrams**, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA

- **Mr Sarabjit Singh**, former Director General, Bureau of Police Research and Development, Indian Police Service, New Delhi, India
- **Ms Justine Walker**, Senior Associate, Financial Crime Policy Unit, Financial Services Authority, UK
- **Mr Alex Lee**, Senior Assistant Director of Prosecutions, Department of Justice, Hong Kong
- **Mr Andrew Boye-Doe**, Chief Manager, Bank of Ghana
- **Mr Peter Gray**, Solicitor, Dewey & LeBoeuf LLP, UK
- **Dr Emiko Saito**, Director, Legal and Compliance, Morgan Stanley Japan Securities Co Ltd, Tokyo

10:30 Coffee

10:45 **Session X: Responding to the threat of fraud and financial crime**

Chair: **Mr George Staple QC**, Consultant, Clifford Chance LLP, UK and former Director, Serious Fraud Office, England and Wales.

- **The Hon Mr William Frei**, Consul General of Switzerland, Shanghai, People's Republic of China
- **Mr Tom Roche**, Deputy General Counsel and Senior Vice-President, Federal Reserve Bank of New York, USA
- **Mr Joseph Myers**, Senior Counsel and Head, Law and Institutions Unit, Financial Integrity Group, Legal Department, International Monetary Fund, USA
- **Mr Richard Collins**, Managing Director, Authorisation, Qatar Financial Centre Regulatory Authority
- **Mr Patric Marshall**, Director, Europe, World-Check
- **Mr Roger Best**, Partner, Clifford Chance LLP, UK
- **Ms Joy M. Geary**, Managing Director, AML Master Pty Ltd, Australia
- **Ms Wendy Tien**, Deputy Assistant Director, Office of Review and Oversight, US Department of Justice, Executive Office for United States Trustees

12:45 Lunch in Hall and Upper Hall

13:45 **Session XI: The management and control of conflicts of interest**

Chair: **Professor Robert Garratt**, Visiting Professor, Cass Business School, City University, London and Professor Extraordinaire, University of Stellenbosch Business School, Republic of South Africa

- **Mr Henry Rossbacher**, Partner, The Rossbacher Firm, Los Angeles, USA
- **The Hon Justice George Adesola Oguntade**, Justice of the Supreme Court of Nigeria
- **Professor Tom Hurst**, Professor of Securities Laws, College of Law, University of Florida, USA
- **Mr William Kattan**, Director, Bermuda Monetary Authority, Bermuda
- **Dr Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University, UK
- **Datuk Simon Shim**, Senior Partner, M/S Sim Pang & Co, Malaysia
- **Mr Stuart Bazley**, Head of Regulatory Consulting, Momenta Compliance Services Ltd, UK
- **Dr Katlen Blöcker**, Partner, Lovells, Germany

15:45 Tea

PLENARY WORKSHOP VII – 16:15-17:15

Bank Integrity: The Case of Sub-Prime Lending

Presented by Saint Louis University, USA and The University of Arkansas, USA

Professor Jim Gilsinan, Des Lee Endowed Collaborative Vision Professor and formerly Dean of the College of Professional Studies, Saint Louis University

Professor Neil Seitz, Professor of Finance and formerly Dean of the John Cook School of Business, Saint Louis University

Professor Jim Millar, Dillard Chair in Corporate Finance, Walton College of Business, University of Arkansas

PLENARY WORKSHOP VIII – 16:15-17:15

Charities and Crime

Professor Paul Palmer, Professor of Voluntary Sector Management, Cass Business School, City University, London

Ms Michelle Russell, Head of Compliance and Support, Charity Commission, UK

Ms Sara Dayman, Partner, BDO Stoy Hayward LLP

PLENARY WORKSHOP IX – 16:15 – 17:15

Islamic Finance - Issues of governance and compliance

Mr David Testa, Chief Executive Officer, Gatehouse, UK
Mr Brandon Davies, Independent Chairman, Audit Risk and Compliance Committee, Gatehouse, UK
Mr Grahame McGirr, Head Credit and Market Risk, Gatehouse, UK
Mr Reza Zaidi, Head, Compliance, Gatehouse, UK
Mr James Bagshawe, Chief Financial Officer, Gatehouse, UK

<p><u>WORKSHOP 17 – 17:30-18:30</u></p> <p>Profiling Terrorist Finance</p> <p>Mr William Tupman, Senior Research Fellow, University of Exeter, Consultant in Terrorism and Organised Crime Mr John McFarlane, Visiting Fellow, Strategic and Defence Studies Centre, Australian National University Dr George Millard, Police Chief and Professor, Sao Paulo State Police, Brazil Mr Monty Rankin, Attorney at Law, Florida, USA</p>	<p><u>WORKSHOP 18 - 17:30-18:30</u></p> <p>AML/CFT management of low risk products</p> <p>Professor Louis de Koker, Director, Centre for the study of Economic Crime, Faculty of Law, University of Johannesburg, Republic of South Africa Mr Joachim Kaetzler, Lawyer, CMS Hasch Sigle, Frankfurt Germany</p>
<p><u>WORKSHOP 19 – 17:30-18:30</u></p> <p>Société Générale – a Case Study</p> <p>Dr George Gilligan, Senior Research Fellow, Department of Business Law and Taxation, Monash University, Australia Mr Stuart Bazley, Head of Regulatory Consulting, Momenta Compliance Services Ltd, UK</p>	<p><u>WORKSHOP 20 – 17:30-18:30</u></p> <p>Market Abuse and Market Rumour</p> <p>Mr George Roper, Executive Director (Acting), Financial Services Commission, Jamaica Mr Pekka Dare, Course Director, (Financial Crime), International Compliance Association and formerly Prosecutor, Inland Revenue Service, New Zealand Dr Richard Alexander, Lecturer in Financial Law, School of Oriental Studies, University of London</p>
<p><u>WORKSHOP 21 – 17:30-18:30</u></p> <p>Typologies – the missing link in the risk based approach</p> <p>Mr Philippe de Koster, Deputy Director, Belgium Financial Processing Unit CTIF-CFI, Belgium Dr Nick Ridley, Senior Lecturer, John Grieve Centre, Dass, London Metropolitan University, Senior Associate BakerPlatt and former Senior Analyst, Europol Ms Joy M Geary, Managing Director, AML Master Pty Ltd, Australia</p>	<p><u>WORKSHOP 22 – 17:30-18:30</u></p> <p>Economic Crime and Compliance- A Japanese perspective</p> <p>Professor Yasunobu Sato, University of Tokyo Dr Chizu Nakajima, Co-Director of the Symposium and Director, Centre for Financial Regulation and Crime, Cass Business School, City University Dr Emiko Saito, Director, Legal and Compliance, Morgan Stanley Japan Securities Co Ltd, Tokyo</p>
<p><u>WORKSHOP 23 – 17:30-18:30</u></p> <p>Identity theft and the production of fraudulent travel documents</p> <p>Mr Mike Dixon, Detective Inspector, Metropolitan Police, UK Mr Nick Pool, Detective Constable, Metropolitan Police, UK Mr Simon Lovegrove, Detective Constable, Metropolitan Police, UK</p>	<p><u>WORKSHOP 24 – 17:30-18:30</u></p> <p>The Legalized Regulation of Drugs – a solution?</p> <p>Mr Jack Cole, Law Enforcement Against Prohibition, USA Mr Tom Lloyd, former Chief Constable, Cambridgeshire Police, UK Mr James Duffy, former Chair, Strathclyde Federation of Police</p>

19:00 **Cocktails** in the Marquee - generously hosted by **Mpac Consultancy LLP**

19:45 **Dinner** in Hall and Upper Hall, Jesus College

Addresses by **HE Mr Lachezar Matev**, Ambassador Extraordinary and Plenipotentiary of Bulgaria to the Court of St James's and **Sir Anthony Hammond QC**, Standing Counsel to the General Synod, former H.M. Procurator, The Treasury Solicitor and the Queen's Proctor and The Legal Advisor to the Home Office and Northern Ireland Office and Director General of Legal Services, Department of Trade and Industry, UK, introduced by **Mr Michael Chan**, Associate, Dewey & LeBoeuf LLP, UK with a vote of thanks proposed by **Mr Dominic Gibbs**, Chief Operating Officer, The Cayzer Trust Company Limited

08:00

Keynote Addresses

Chair: **Professor Barry A.K. Rider**, Director and Co-Chairman of the Symposium

- **Ms Marcy Forman**, Director (Financial Investigations), Immigration and Customs Enforcement (ICE), Department of Homeland Security, USA
- **The Hon Judge Antonio Balsamo**, Judge of the Court of Cassation, Italy, and Member of the Scientific Committee of the Consiglio Superiore della Magistratura, Italy and former Judge of the Court of Assizes, Palermo, Sicily
- **Sir Ivan Lawrence QC**, Visiting Professor of Law, University of Buckingham former Chairman, Home Affairs Committee, House of Commons, UK and former Recorder of the Crown Court, England and Wales

09:00

Session XII: Handling suspicions of fraud, financial crime and corruption

Chair: **Mr John Moscow**, Baker & Hostetler, USA and former Assistant District Attorney, Office of the District Attorney of New York, USA

- **Mr David Copley**, Principal Officer, Serious Organised Crime Agency (SOCA), UK
- **Professor Michelle Gallant**, Associate Dean (Research and Graduate Studies) and Executive Director, Legal Research Institute, Faculty of Law, University of Manitoba, Canada
- **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA
- **Mr Philip Rutledge**, Partner, Bybel & Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA
- **Dr Simona Mulinari**, Senior Manager, PricewaterhouseCoopers, Geneva
- **Mr Tom Viles**, Partner, Berliner, Corcoran & Rowe, Washington DC, USA
- **Mr Paul Garlick QC**, Outer Temple Chambers, London, UK
- **Ms Leonie Dunn**, Director, Financial Intelligence Unit, Namibia

Session XIII (Parallel Session): The special risks facing International Financial Centres

Chair: **The Hon Mrs Jennifer Dilbert**, Representative to the United Kingdom of the Government of Cayman

- **The Hon Mrs Judith Jones Morgan**, Attorney General, St Vincent and the Grenadines
- **The Hon Mr Reginald Rhoda QC**, Attorney General, Gibraltar
- **Mr John Aspden**, Chief Executive, Financial Services Commission, Isle of Man
- **Mr Cherno Jallow QC**, Director of Policy Research and Statistics, Financial Services Commission, British Virgin Islands and former Attorney General, British Virgin Islands
- **Mr Rowan Bosworth-Davies**, International Financial Crime Consultant, Bosworth Fields Ltd, UK
- **Dr Robert vella Baldacchino**, Deputy General Manager, Malta Stock Exchange
- **Mr Michael Foot**, Chairman, Promontory Financial Group (UK) Ltd and former Inspector of Banks and Trusts, Central Bank of Bahamas and former Managing Director, Financial Services Authority, UK
- **Colonel Grant Newsham**, Executive Director, Corporate Security, Morgan Stanley Japan Securities Co Ltd

10:45

Coffee

11:00

Session XIV: Dealing with the Regulators - particularly at an international level

Chair: **Mr Tim Clark**, Senior Partner, Slaughter and May, UK

- **Mr Leonard McCarthy**, Vice President, Integrity Vice President, The World Bank, USA
- **Mr Troy Beattie**, Office of International Affairs, Securities and Exchange Commission, USA
- **Mr Tomoyoshi Uranishi**, Senior Executive Officer, Tokyo Stock Exchange Inc, Japan and formerly Director, Ministry of Finance, Japan
- **Mr Frank Cihlar**, Senior Counsel for International Tax Matters, Department of Treasury, USA
- **Ms Jane Wexton**, Partner, Duane Morris LLP, USA
- **Mr Sean O'Malley**, Deputy Chief Investigator, Enforcement Division, Federal Reserve Bank of New York, USA
- **Mr J P Wilson**, CEO, The Global Compliance Consultancy Group, USA
- **Professor Hongming Cheng**, Assistant Professor, Department of Sociology, University of Saskatchewan, Canada and Advisor to the Law Society, Shanghai, China and former Assistant Chief Prosecutor, International Affairs, Shanghai, China
- **Mr Ian Johnston**, Managing Director, Policy and Legal Services, Dubai Financial Services Authority, Dubai

13:00

Lunch in Hall and Upper Hall

14:00

Session XV: The cost benefit analysis of regulation and control – transition and developing economies

Chair: **The Hon Mr John Maher III**, Vice Chairman, Centric Bank and Member and past Speaker pro tempore of The House of Representatives, Pennsylvania, USA

- **Professor Louis de Koker**, Director, Centre for the Study of Economic Crime, Faculty of Law, University of Johannesburg, Republic of South Africa
- **Professor Peter Nolan**, Professor of Chinese Business, Judge Business School, Chair of Development Studies, University of Cambridge and Professorial Fellow, Jesus College, Cambridge
- **Professor Terry Dworkin**, Jack R Wentworth Professor of Business Law, Kelly School of Business and Dean, Office for Women's Affairs, Indiana University, USA
- **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA
- **Mr Calvin Wilson**, Executive Director, Caribbean Financial Task Force (CFATF), Trinidad
- **Professor Januz Bojarski**, Chair of Criminal Law and Criminal Policy, Nicolas Copernicus University, Poland
- **Professor Bambo Adewopa**, Director-General, Nigerian Copyright Commission, Nigeria

16.00

Tea

16:20

- **Mr Alan Lambert**, Consultant and former Head of Hertfordshire Police Financial Investigation Unit and Consultant to the Foreign and Commonwealth Office
- **Professor Yasunobu Sato**, Professor of Law, University of Tokyo, Japan
- **Dr Denis Osborne**, Consultant in Governance, Development and Training, formerly H.M. High Commissioner to Malawi
- **Mr Jack Cole**, Law Enforcement Against Prohibition, USA
- **Ms Leonie Dunn**, Director, Financial Intelligence Unit, Namibia
- **Mr Craig Hammer**, Co-ordinator, Civic Engagement, Empowerment and Respect for Diversity Programme, The World Bank
- **Mr Ajay Tejasvi Narasimhan**, The World Bank
- **Dr Mark Hsiao**, Lecturer in Law, School of Law, College of Business, Social Science and Law, University of Bangor, Wales
- **Professor Doungkamol Bhanalaph**, Dhurak Ijpundit University, Bangkok, Thailand

14:00

Session XVI (Parallel Session): The role and responsibilities of Financial Regulators in the prevention and control of financial crime

Chair: **Mr Robert Rhodes QC**, Outer Temple Chambers, UK

- **Mr Stefan Gannon**, General Counsel, Hong Kong Monetary Authority
- **Mr Phillip Thorpe**, Chairman and Chief Executive Officer, Qatar Financial Centre Regulatory Authority
- **Ms Carol Van Cleef**, Partner, Bryan Cave, USA
- **Mr Stephen Glynn**, Director of Enforcement, Dubai Financial Services Authority, Dubai
- **Mr George Roper**, Executive Director (Acting), Financial Services Commission, Jamaica
- **Professor Dayanath Jayasuriya**, former Chairman, Securities and Exchange Commission, Government of Sri Lanka
- **Mr Philip Rutledge**, Partner, Bybel & Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA
- **Professor Mads Andenas**, Professor of Law, University of Leicester and former Director of the British Institute of International and Comparative Law

16:00

Tea

16:20

- **Mr Chris Dickson**, Executive Counsel, Accountants' Joint Disciplinary Scheme, UK and former Deputy Director, Serious Fraud Office, England and Wales
- **Mr Nusret Cetin**, Attorney at Law, Deputy of Legal Affairs and Head of Institutional Relations Group, Capital Markets Board of Turkey
- **Mr Larry Boyce**, Vice President, Sales Compliance and Registration, Investment Dealers Association of Canada
- **Professor Takeyoshi Imai**, Professor of Criminal Law, Hosei Graduate Law School, Tokyo and Adviser to the Ministry of Justice, Japan
- **Dr Andrew Haynes**, Director, Institute of Financial Law, University of Wolverhampton, UK
- **Ms Jing Bian**, School of Oriental and African Studies, University of London and former Justice Department, People's Republic of China
- **Dr José Barbaccia**, Partner, Gonzalez del Solar, Mullen & Barbaccia Law and former Criminal Federal Prosecutor and former Criminal Tax Prosecutor, Argentina

- **Mr Pekka Dare**, Course Director, Financial Crime, International Compliance Association and former Prosecutor, Inland Revenue Service, New Zealand

14:00 **Session XVII (Parallel Session): Human Trafficking**

Chair: **Mr Graham Ritchie**, Senior Research Fellow, Anglia Ruskin University, UK and former Senior Fellow, Institute of Advanced Legal Studies, University of London
Dr Carrie Pemberton, Cambridge Centre for Applied Research into Human Trafficking

- **Mr Mike Quinn**, Anti-Human Trafficking Unit, Department of Justice, Equality and Law Reform, Ireland
- **Ms Kristin Kvigne**, Assistant Director, Trafficking in Human Beings Sub-Directorate, O.I.P.C, Interpol
- **Dr Nancy Baldwin**, Attorney at Law, Florida, USA
- **Professor Kadriye Bakirci**, Associate Professor, Istanbul Technical University and Member of the Commission for Draft Legislation, Government of Turkey
- **Professor Antonello Miranda**, Professor of Comparative Law and Dean of the School of Social Sciences, University of Palermo, Italy
- **Ms Nadia Plastina**, Magistrate Director Office, Ministry of Justice, Italy

16:00 **Tea**

16:20

- **Mr Andrew Lawrence**, Criminal and Enforcement Policy, HM Revenue and Customs
- **Mr Kevin Vanterpool**, Detective Inspector, Cambridgeshire Police, UK
- **Mr Glynn Rankin**, Human Trafficking Centre, UK
- **Mr Jeffrey Avina**, Microsoft Corporation, formerly Global Initiative to Fight Human Trafficking Programme, United Nations
- **Mr Bernie Gravett**, Superintendent, OCU Commander, Metropolitan Police, UK
- **Professor Carol Allais**, Department of Sociology, University of South Africa

19:00 **Cocktails** in the Marquee,

19:45 **Dinner** in Hall and Upper Hall, Jesus College

After-dinner Addresses by **Mr Koji Tomita**, Minister of the Embassy of Japan and **Professor Arnoud De Meyer**, Director, Judge Business School, University of Cambridge and Professorial Fellow of Jesus College Cambridge, introduced by **Dr Chizu Nakajima**, Co-Director of the Symposium and Director, Centre for Financial Regulation and Crime, Cass Business School, City University, with a vote of thanks proposed by **Colonel Robert Murfin**, Clerk to the Worshipful Company of Pattenmakers of the City of London

Saturday, 6th September 2008

8:00 **Session XVIII: Pursuit and disruption of profitable crime**

Chair: **Sir Ivan Lawrence QC**, Visiting Professor of Law, University Buckingham, former Chairman, Home Affairs Committee, House of Commons, UK and former Recorder of the Crown Court, England and Wales

- **Mr David Thomas**, Head, UK Financial Intelligence Unit, Serious Organised Crime Agency (SOCA), UK
- **Mr John McFarlane**, Visiting Fellow, Strategic and Defence Studies Centre, Australian National University
- **Mr Graeme McDowall Smith**, Advisor to the Director, Financial Investigation Agency, British Virgin Islands
- **Mr John Moscow**, Baker & Hostetler LLP and former Assistant District Attorney, Office of the District Attorney of New York, USA
- **Ms Carol Van Cleef**, Partner, Bryan Cave, USA
- **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA
- **Dr George Millard**, Police Chief and Professor, Sao Paulo State Police, Brazil
- **Dr Nick Ridley**, Senior Lecturer, John Grieve Centre for Policing and Security, London Metropolitan University, Senior Associate BakerPlatt and former Senior Analyst, Europol
- **Mr William Tupman**, Senior Research Fellow, University of Exeter, Consultant in Terrorism and Organised Crime
- **Mr David Fitzpatrick**, Barrister, England and Wales and Hong Kong and former Senior Crown Counsel, Hong Kong

Session XIX (Parallel Session): Governance as a frontline tool in the prevention and control of financial crime and corruption

Chair: **Her Hon Judge Diana Faber**, Judge of the Crown Court and former Law Commissioner, England and Wales

- **Mr Graham Rodmell**, Senior Advisor, Transparency International, UK
- **Dr Patrick Hardouin**, Consultant, International Security and Business, Paris, France and former Deputy Secretary General, NATO
- **Mr Vali Khobreh**, Vice President, General Inspection Organization, Islamic Republic of Iran
- **Mr Brian Sapati**, Co-ordinator, Corporate Governance Programme, GIMPA and former Head, Serious Fraud Office, Republic of Ghana
- **Professor Anona Armstrong**, Director, Centre for International Corporate Governance Research, Victoria University, Australia
- **Professor Andreas Kapardis**, Acting Chairman, Law Department, University of Cyprus
- **Professor Maria Krambia-Kapardis**, Associate Professor of Accounting, Cyprus University of Technology
- **Mr Brandon Davies**, Independent Chairman, Audit Risk and Compliance Committee, Gatehouse, UK
- **Ms Siti Faridah**, Senior Lecturer in Law, National University of Malaysia
- **Mr Li Shoushuang**, Attorney, Dacheng Law Firm, Beijing, People's Republic of China

10:30 Coffee

10:45 **Session XX: Privacy, Confidentiality and Privilege – things of the past?**

Chair: **The Hon Justice Olayinka Faji**, Judge of the Federal High Court, Nigeria

- **Mr Saul Froomkin QC**, Chairman of the Symposium, Senior Litigation Partner, Mello Jones & Martin, Bermuda and former Attorney General of Bermuda and Director of Criminal Law, Federal Government of Canada
- **Professor Hans Geiger**, Director, Swiss Banking Institute, University of Zurich, Switzerland
- **Mr T M Ashe QC SC**, 9 Stone Buildings, London and Recorder of the Crown Court, England and Wales
- **Mr A B Okauru**, Director, Nigerian Financial Intelligence Unit, Nigeria
- **Dr Paul Larsson**, Head of Research, The Police Academy of Oslo, Norway
- **Judge Dr Abed El Rehim Mohammed**, Cairo University and former Judge of the Civil Courts, Egypt
- **Professor Cindy A Schipani**, Professor of Business Law and Chair of Law, History & Communication, Stephen M Ross School of Business, University of Michigan, USA
- **Mr Frans van Proosdij**, Manager, Compliance and Risk Management, Robeco Direct, The Netherlands
- **Dr George Gilligan**, Senior Research Fellow, Department of Business Law and Taxation, Monash University, Australia
- **Dr Richard Alexander**, Lecturer in Financial Law, School of Oriental and African Studies, University of London
- **Dr Anton Keller**, The Secretary, Swiss Investors Protection Association

Closing Addresses

Chair: **Professor Barry A.K. Rider**, Director and Co-Chairman of the Symposium

- **The Hon Mr John Maher III**, Vice Chairman, Centric Bank and Member and past Speaker pro tempore of The House of Representatives, Pennsylvania, USA

13:00 Lunch

Conducted Tours of the City and University of Cambridge/or Punt Cruise on the River Cam

18:45 Cocktails in the Marquee

19:45 Dinner in Hall and Upper Hall, Jesus College

After-dinner Addresses by **Mr Saul Froomkin**, Chairman of the Symposium, Senior Litigation Partner, Mello Jones & Martin, Bermuda and former Attorney General of Bermuda and Director of Criminal Law, Federal Government of Canada

The above programme is confirmed subject to where the contrary is indicated by an asterisk. Inevitably given the number of speakers and panellists there will be changes and a fully updated programme will be available on the website, www.crimesymposium.org

Workshops

Participation in the **non-plenary** workshops will be restricted so as to facilitate informal discussion. Registration for workshops will be on a first come basis. The convenors for each workshop are indicated in the programme, but discussion will be open to all those participating.

Jesus College, Cambridge and the University of Cambridge

Jesus College was founded as a college, within the University of Cambridge, in 1496 by Bishop Alcock of Ely. The history of the College is, however, rather more ancient. An order of nuns occupied the site and buildings for at least two hundred and fifty years before this. Since the inception of the annual Cambridge Symposium, twenty-six years ago, the Master and Fellows of the College have been pleased to host the event and the College administers all financial matters pertaining to the programme.

The earliest record of the University of Cambridge is in 1209. The University consisting of over 100 departments, faculties and schools has a deserved international reputation for excellence. A number of the University's centres and programmes are involved in supporting the annual Cambridge Symposium on Economic Crime.

Principal Organising Institutions

The Centre for International Documentation on Economic and Organised Crime (CIDOEC)

CIDOEC was established as a non-profit making organisation in 1988 to promote collaborative and comparative research in the prevention and control of organised and economic crime. It is based in Cambridge, although it has branches in southern Africa, North America, Latin America and the Far East. The Chairman of CIDOEC's Advisory Board is Mr Saul M Froomkin QC, a former Attorney General of Bermuda.

The Institute of Advanced Legal Studies and the Society for Advanced Legal Studies

The IALS was established in 1947 and is a constituent member of the School for Advanced Study of the University of London. Although part of the University of London, the Institute's role is national, and is funded by government on this basis. Its library is the national law library and the Institute's research staff is concerned with promoting and conducting research across a broad spectrum of legal and related issues. The Institute's Advisory Council is chaired by The Rt Hon The Lord Hope of Craighead, Lord of Appeal in Ordinary. The Deputy Chairman and Chairman of the Development Committee of the Institute is Sir John Mummery, Lord Justice of Appeal. The Society for Advanced Legal Studies is a registered charity concerned with promoting collaborative research between practising lawyers, academics and those involved in the administration of justice in Britain and elsewhere. The Society's Advisory Council is chaired by The Rt Hon The Lord Scott of Foscote, Lord of Appeal in Ordinary.

The Centre for Financial Regulation and Crime, Cass Business School, City University, London

Cass Business School is one of the leading business and management schools in the UK and has the advantage of being based in the City of London, with the Lord Mayor as the University's Chancellor. The Centre for Financial Regulation and Crime (CFRC) was established in association with a number of regulatory and law enforcement agencies, including the City of London Police. The aim of CFRC is to provide a centre of excellence in order to promote and conduct research and teaching, across a broad spectrum of disciplines, in the area of financial regulation and crime control.

The International Association of Anti-Corruption Authorities

The IAACA was established by resolution of a number of governments at a meeting hosted by the Prosecutor General of China in Beijing in October 2006. The primary objective of the IAACA is to facilitate the effective implementation of the UN Convention against Corruption and assist governments generally in fighting corruption and corruption related crime. The IAACA's Secretary General is Dr Ye Feng of the Supreme People's Procuratorate of China.

A complete list of all the organisations around the world supporting the annual Cambridge Symposium is to be found on page 2 of this programme.

Symposium Chairman

Mr Saul M Froomkin QC

Senior Litigation Partner, Mello Jones & Martin, Bermuda, Honorary Fellow, Society for Advanced Legal Studies and former Attorney General of Bermuda and Director of Criminal Law, Federal Government of Canada

Symposium Director and Co-Chairman

Professor Barry AK Rider

Honorary Senior Research Fellow and former Director of the Institute of Advanced Legal Studies, University of London; Fellow Commoner and Former Fellow of Jesus College, Cambridge; President of the British Institute of Securities Laws; Executive Director, Centre for International Documentation on Organised and Economic Crime (CIDOEC) and International General Counsel, International Compliance Association and Barrister

Symposium Co-Director and Presiding Convenor

Dr Chizu Nakajima

The Director, Centre for Financial Regulation and Crime, Cass Business School, City University, London

The 26th Cambridge International Symposium on Economic Crime

Banking on Trouble

Sunday 31st August - Sunday 7th September 2008

Jesus College, Cambridge

For further information, please contact

Mrs Angela Futter

Symposium Manager

Jesus College, Cambridge CB5 8BL, UK

Tel: +44 (0) 1223 872160

Fax: +44 (0) 1223 872160

E-mail: info@crimesymposium.org

or visit

www.crimesymposium.org

or contact (in regard to the programme)

Dr Chizu Nakajima, Symposium Co-Director

Director, Centre for Financial Regulation and Crime (CFRC)

Cass Business School, City University

106 Bunhill Row

London EC1Y 8TZ, UK

Tel: +44 (0) 20 7040 8678

Fax: +44 (0) 20 7040 8328

E-mail: cfr@city.ac.uk

**For information on other CIDOEC programmes and
The Journal of Financial Crime and *The Journal of Money Laundering Control*,
Please contact**

Professor Barry AK Rider

Jesus College

Cambridge CB5 8BL, UK

Email: b.rider@jesus.cam.ac.uk